# Families of isotropic subspaces in a symplectic Z/2-vector space by George Lusztig

#### Abstract

For a symplectic vector space over  $\mathbf{Z}/2$  we give a non-inductive definition of a family of isotropic subspaces with remarkable properties.

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#### 0 Introduction

**0.1.** Let  $F = \mathbb{Z}/2$  be the field with two elements. Let  $\overline{V}$  be an *F*-vector space of finite dimension  $2n \ge 2$  endowed with a nondegenerate symplectic form  $\langle \rangle$  and with a collection of vectors  $\overline{e}_0, \overline{e}_1, \overline{e}_2, \ldots, \overline{e}_{2n}$  such that

 $<\bar{e}_0, \bar{e}_1>=<\bar{e}_1, \bar{e}_2>=\ldots=<\bar{e}_{2n-1}, \bar{e}_{2n}>=<\bar{e}_{2n}, \bar{e}_0>=1,$ 

 $\langle \bar{e}_1, \bar{e}_0 \rangle = \langle \bar{e}_2, \bar{e}_1 \rangle = \ldots = \langle \bar{e}_{2n}, \bar{e}_{2n-1} \rangle = \langle \bar{e}_0, \bar{e}_{2n} \rangle = 1$ 

and  $\langle \bar{e}_i, \bar{e}_j \rangle = 0$  for all other pairs i, j. (Such a collection is called a "circular basis" in [3].)

In [3] we have introduced a family  $\mathcal{F}(\bar{V})$  of isotropic subspaces of  $\bar{V}$  with remarkable properties:

There is a unique bijection  $\mathcal{F}(\bar{V}) \xrightarrow{\sim} \bar{V}$  such that any  $x \in \bar{V}$  is contained in the corresponding subspace of  $\bar{V}$ . The characteristic functions of the various subspaces in  $\mathcal{F}(\bar{V})$  form a new basis of the complex vector space  $\bar{V}^{\mathbf{C}}$  of functions  $\bar{V} \to \mathbf{C}$  which is related to the obvious basis of  $\bar{V}^{\mathbf{C}}$  by an upper triangular matrix with 1 on diagonal (in some partial order  $\leq$  on  $\mathcal{F}(\bar{V})$ ).

(In fact the collection  $\mathcal{F}(\bar{V})$  was already introduced in [2], but in a less symmetric form.) A further property of  $\mathcal{F}(\bar{V})$  was found in [3], namely that the matrix of the Fourier transform  $\bar{V}^{\mathbf{C}} \to \bar{V}^{\mathbf{C}}$  with respect to the new basis is upper triangular with  $\pm 1$  on diagonal. The proof of this property was based on the observation that the new basis admits a dihedral symmetry which was not visible in the definition of [2].

In this paper we give a new non-inductive definition of  $\mathcal{F}(\bar{V})$  which is visibly compatible with the dihedral symmetry (the definition of [2] has no such a symmetry property; the definition in [3] did have the symmetry property but was inductive). We also give a formula for the bijection  $\mathcal{F}(\bar{V}) \xrightarrow{\sim} \bar{V}$  above which is clearly compatible with the dihedral symmetry. (See Theorem 1.4.)

Let V be an F-vector space with basis  $e_0, e_1, \ldots, e_{2n}$  such that  $\overline{V}$  is the quotient of V by the line  $F(e_0 + e_1 + \ldots + e_{2n})$  and  $\overline{e}_i$  is the image of  $e_i$  under the obvious map  $V \to \overline{V}$ . In Section 4 we define an analogue  $\widetilde{\mathcal{F}}(V)$  of  $\mathcal{F}(\overline{V})$  which is a refinement of  $\mathcal{F}(\overline{V})$  and has several properties of  $\mathcal{F}(\overline{V})$ . In Sections 5 - 7 we study a modification of the family  $\mathcal{F}(\bar{V})$  which plays the same role in the theory of unipotent representations of orthogonal groups over a finite field as that played by  $\mathcal{F}(\bar{V})$  in the analogous theory for symplectic groups over a finite field.

#### **1** Statement of the Theorem

**1.1.** Let V be an F-vector space endowed with a symplectic form  $\langle , \rangle : V \times V \to F$  and a map  $e: S \to V, s \mapsto e_s$  where S is a finite set. Let  $\mathfrak{E}$  be the set of unordered pairs  $s \neq s'$  in S such that  $\langle e_s, e_{s'} \rangle = 1$ . This is the set of edges of a graph with set of vertices S. For any  $I \subset S$  we set  $e_I = \sum_{s \in I} e_s \in V$  and we denote by  $\underline{I}$  the full subgraph of  $(S, \mathfrak{E})$  whose set of vertices is I. Let  $\mathcal{I}$  be the set of all  $I \subset S$  such that  $\underline{I}$  is a graph of type  $A_m$  for some  $m \geq 1$ . We have  $\mathcal{I} = \mathcal{I}^0 \sqcup \mathcal{I}^1$  where  $\mathcal{I}^0 = \{I \in \mathcal{I}; |I| = 0 \mod 2\}, \mathcal{I}^1 = \{I \in \mathcal{I}; |I| = 1 \mod 2\}$ . For I, I' in  $\mathcal{I}^1$  we write  $I \prec I'$  whenever  $I \subseteq I'$  and  $\underline{I' - I}$  is disconnected. For I, I' in  $\mathcal{I}^1$  we write  $I \cap I' = \emptyset$  and  $\underline{I \cup I'}$  is disconnected. For  $I \in \mathcal{I}^1$  let  $I^{ev}$  be the set of all  $s \in I$  such that  $I - \{s\} = I' \sqcup I'', \text{ with } I' \in \mathcal{I}^1, I' \in \mathcal{I}^1, I' \spadesuit I''$ . Let  $I^{odd} = I - I^{ev}$ . We have  $|I^{ev}| = (|I| - 1)/2$ .

**1.2.** Let R be the set whose elements are finite unordered sequences of objects of  $\mathcal{I}^1$ . For  $B \in R$  let  $L_B$  be the subspace of V generated by  $\{e_I; I \in B\}$ ; for a subspace L of V let  $B_L = \{I \in \mathcal{I}^1; e_I \in L\} \subset R$ . For  $s \in S, B \in R$  we set

$$g_s(B) = |\{I \in B; s \in I\}|$$

(here |?| denotes the number of elements of ?) and

$$\epsilon_s(B) = (1/2)g_s(B)(g_s(B) + 1) \in F.$$

For  $B \in R$  we set

$$\epsilon(B) = \sum_{s \in S} \epsilon_s(B) e_s \in V.$$

For  $B \in R$  we set  $\operatorname{supp}(B) = \bigcup_{I \in B} I \subset S$ .

Let  $\phi(V)$  be the set consisting of all  $B \in R$  such that  $(P_0), (P_1)$  below hold.

 $(P_0)$  If  $I \in B, I' \in B$ , then I = I', or  $I \blacklozenge I'$ , or  $I \prec I'$ , or  $I' \prec I$ .

 $(P_1)$  Let  $I \in B$ . There exist  $I_1, I_2, \ldots, I_k$  in B such that  $I^{ev} \subset I_1 \cup I_2 \cup \ldots \cup I_k$  (disjoint union),  $I_1 \prec I, I_2 \prec I, \ldots, I_k \prec I$ .

We say that (V, <, >, e) is *perfect* if properties (i)-(iv) below hold.

(i) If  $B \in \phi(V)$ , then  $\{e_I; I \in B\}$  is a basis of  $L := L_B$ ; moreover,  $B = B_L$ .

(ii) For any  $B \in \phi(V)$  we have  $\epsilon(B) \in L_B$ . Hence  $\epsilon$  restricts to a map  $\phi(V) \to V_0$ (denoted again by  $\epsilon$ ) where  $V_0 = \bigcup_{B \in \phi(V)} L_B \subset V$ .

(iii) The map  $\epsilon : \phi(V) \to V_0$  is a bijection.

(iv) If B, B' in  $\phi(V)$  are such that  $\epsilon(B') \in L_B$ , then  $g_s(B') \leq g_s(B)$  for any  $s \in S$ .

For B', B in  $\phi(V)$  we say that  $B' \leq B$  if there exist  $B_0, B_1, B_2, \ldots, B_k$  in  $\phi(V)$  such that  $B_0 = B', B_k = B, \epsilon(B_0) \in L_{B_1}, \epsilon(B_1) \in L_{B_2}, \ldots, \epsilon(B_{k-1}) \in L_{B_k}$ . We show:

(a) If (V, <, >, e) is perfect, then  $\leq$  is a partial order on  $\phi(V)$ . Assume that we have elements  $B_0, B_1, \ldots, B_k, B'_0, B'_1, \ldots, B'_l$  in  $\phi(V)$  such that  $\epsilon(B_0) \in L_{B_1}, \epsilon(B_1) \in L_{B_2}, \dots, \epsilon(B_{k-1}) \in L_{B_k}, \\ \epsilon(B'_0) \in L_{B'_1}, \epsilon(B'_1) \in L_{B'_2}, \dots, \epsilon(B'_{l-1}) \in L_{B'_l},$ 

and  $B_0 = B'_l, B'_0 = B_k$ . We must prove that  $B_0 = B'_0$ . Using (iv) and our assumptions we have for any  $s \in S$ :

 $g_s(B_0) \le g_s(B_1) \le g_s(B_2) \le \dots \le g_s(B_k) = g_s(B'_0),$  $g_s(B'_0) \le g_s(B'_1) \le g_s(B'_2) \le \dots \le g_s(B'_l) = g_s(B_0).$ 

 $g_s(D_0) \leq g_s(D_1) \leq g_s(D_2) \leq \dots \leq g_s(D_l) - g_s(D_0).$ It follows that  $g_s(B_0) \leq g_s(B'_0), g_s(B'_0) \leq g_s(B_0)$ , so that  $g_s(B_0) = g_s(B'_0)$ . Since this holds

for any s, we see that  $\epsilon(B_0) \leq g_s(B_0), g_s(B_0) \leq g_s(B_0)$ , so that  $g_s(B_0) = g_s(B_0)$ . Since this holds for any s, we see that  $\epsilon(B_0) = \epsilon(B'_0)$ . Using the injectivity of  $\epsilon$  (see (iii)), we deduce that  $B_0 = B'_0$ , as desired.

**1.3.** We will consider three cases:

(a)  $V, <, >, e : S \to V$  are such that  $\{e_s; s \in S\}$  is a basis of V and  $(S, \mathfrak{E})$  is a graph of type  $A_{N-1}, N \in \{3, 5, 7, \ldots\}$ ;

(b)  $V, <, >, e : S \to V$  are such that  $\{e_s; s \in S\}$  is a basis of V and  $(S, \mathfrak{E})$  is a graph of affine type  $A_{N-1}, N \in \{3, 5, 7, \ldots\}$ ;

(c)  $V, <, >, e : S \to V$  in (b) are replaced by  $\overline{V} = V/Fe_S$ , by the symplectic form induced by <, > (denoted again by <, >), and by  $\pi e : S \to \overline{V}$ , where  $\pi : V \to \overline{V}$  is the obvious map.

In cases (b),(c) we note that the automorphism group of the graph  $(S, \mathfrak{E})$  is a dihedral group  $Di_{2N}$  of order 2N. It acts naturally on V in (b) by permutations of the basis; this induces an action of  $Di_{2N}$  on  $\bar{V}$  in (c).

Let  $I \subset S$ ; in cases (b),(c) we assume that  $I \neq S$ . There is a well defined subset c(I) of  $\mathcal{I}$  such that  $I' \spadesuit I''$  for any  $I' \neq I''$  in c(I) and  $I = \bigsqcup_{I' \in c(I)} I'$ . Note that  $\{\underline{I}'; I' \in c(I)\}$  are the connected components of the graph  $\underline{I}$ .

We now state the following result.

**Theorem 1.4.** In each of the cases 1.3(a), (b), (c), (V, <, >, e) is perfect.

**1.5.** In case 1.3(a), Theorem 1.4 is contained in [1]. Let  $\mathcal{F}(V)$  be the set of subspaces of V of the form  $L_B$  for some  $B \in \phi(V)$ . Note that  $B \mapsto L_B$  is a bijection  $\phi(V) \xrightarrow{\sim} \mathcal{F}(V)$ .

We can write the elements of S as a sequence  $s_1, s_2, \ldots, s_{N-1}$  in which any two consecutive elements are joined in the graph  $(S, \mathfrak{E})$ . Let  $I \subset S$ . Let c(I) be as in 1.3. Let  $c(I)^{0+}$  (resp.  $c(I)^{0-}$ ) be the set of all  $I' \in c(I)$  such that  $I' = \{s_k, s_{k+1}, \ldots, s_l\}$  where k is even, l is odd (resp. k is odd, l is even). Let  $V_0$  be the subset of V consisting of all  $e_I$  where  $I \subset S$  satisfies  $|c(I)^{0+}| = |c(I)^{0-}|$ . From [1] it is known that  $V_0$  coincides with the subset of V appearing in 1.2(ii) that is,

(a)  $\cup_{L \in \mathcal{F}(V)} L = V_0.$ 

## 2 The case 1.3(c)

**2.1.** In this section we assume that we are in case 1.3(c). For  $s \in S$  we set  $\bar{e}_s = \pi(e(s))$ . For  $I \subset S$  we set  $\bar{e}_I = \sum_{s \in I} \bar{e}_s$ . Note that  $\{\bar{e}_s; s \in S\}$  is a circular basis of  $\bar{V}$  (in the sense of [3]) and to this we can attach a collection  $\mathcal{F}(\bar{V})$  of subspaces of  $\bar{V}$  as in [3]. We recall how this was done. For any  $s \in S$  we set

$$\hat{s} = \{s' \in S; <\bar{e}_s, \bar{e}_{s'} >= 1\} \cup \{s\} \subset S.$$

We have  $|\hat{s}| = 3$ . We set  $\bar{e}_s^{\perp} = \{x \in \bar{V}; \langle x, \bar{e}_s \rangle = 0\}$  and  $\bar{V}_s = \bar{e}_s^{\perp}/F\bar{e}_s$ . This is a symplectic F-vector space with circular basis  $\{\bar{e}_{s'}; s' \in S - \hat{s}\} \sqcup \{\bar{e}_{\hat{s}}\}$ . Thus the analogue of S when  $\bar{V}$  is replaced by  $\bar{V}_s$  is  $S_s = (S - \hat{s}) \sqcup \{\hat{s}\}$  (a set with |S| - 2 elements). Let  $\bar{p}_s : \bar{e}_s^{\perp} \to \bar{V}_s$  be the obvious linear map. We define a collection  $\mathcal{F}(\bar{V})$  of subspaces of  $\bar{V}$  by induction on N. If N = 3,  $\mathcal{F}(\bar{V})$  consists of 0 and of  $\bar{p}_s^{-1}(0)$  for various  $s \in S$ . If  $N \ge 5$ ,  $\mathcal{F}(\bar{V})$  consists of 0 and of  $\bar{p}_s^{-1}(L')$  for various  $s \in S$  and various  $L' \in \mathcal{F}(\bar{V}_s)$  (which is defined by the induction hypothesis). In [3],  $\mathcal{F}(\bar{V})$  is also identified with a collection of subspaces of  $\bar{V}$  introduced in [2] in terms of a chosen element  $t \in S$ . From this identification we see that:

(a) if  $L \in \mathcal{F}(\bar{V})$  and  $B_L^t := \{I \in \mathcal{I}; I \subset S - \{t\}, \bar{e}_I \in L\}$ , then  $\{\bar{e}_I; I \in B_L^t\}$  is an *F*-basis of *L*, so that  $L = L_{B_L^t}$ .

Now if  $I \in \mathcal{I}$ , then  $\tilde{S}^{L} - I \in \mathcal{I}$  and we have  $\bar{e}_{I} = \bar{e}_{S-I}$ . Moreover, exactly one of I, S - I is contained in  $S - \{t\}$  and exactly one of I, S - I is in  $\mathcal{I}^{1}$ . We deduce that:

(b) If  $L \in \mathcal{F}(\bar{V})$ , and

$$B_L := \{ I \in \mathcal{I}^1; \bar{e}_I \in L \} = \{ I \in \mathcal{I}^1; I \in B_L^t \} \sqcup \{ I \in \mathcal{I}^1; S - I \in B_L^t \}$$

then  $\{\bar{e}_I; I \in B_L\}$  is an *F*-basis of *L*, so that  $L = L_{B_L}$ .

**2.2.** We show that for  $B \in R$ :

(a) we have  $B \in \phi(\overline{V})$  if and only if  $L_B \in \mathcal{F}(\overline{V})$ .

The proof is analogous to that of the similar result in case 1.3(a) given in [1]. We argue by induction on N. If N = 3, (a) is easily verified. In this case, B is either  $\emptyset$  or it is of the form  $\{s\}$  for some  $s \in S$ . We now assume that  $N \geq 5$ . For  $s \in S$  we denote by  $\mathcal{I}_s^1, R_s$  the analogues of  $\mathcal{I}^1, R$  when S is replaced by  $S_s$  (see 2.1). For  $J \in \mathcal{I}_s^1$  we write  $\bar{e}_J \in \bar{V}_s$  for the analogue of  $\bar{e}_I \in \bar{V}, I \in \mathcal{I}^1$ . We have

$$\bar{p}_s^{-1}(\bar{e}_J) = \{\bar{e}_I, \bar{e}_I + \bar{e}_s\}$$

for a well defined  $I \in \mathcal{I}^1$  such that  $s \notin I$ ; we set  $I = \xi_s(J)$ . There is a well defined map  $\tau_s : R_s \to R, B'_1 \mapsto B_1$  where  $B_1$  consists of  $\{s\}$  and of all  $\xi_s(J)$  with  $J \in B'_1$ . From the definitions we see that (assuming that  $B'_1 \in R_s$  and  $B_1 = \tau_s(B'_1)$ ), the following holds.

(b)  $B'_1$  satisfies  $(P_0)$  if and only if  $B_1$  satisfies  $(P_0)$ ;  $B'_1$  satisfies  $(P_1)$  if and only if  $B_1$  satisfies  $(P_1)$ .

Assume now that B is such that  $L := L_B \in \mathcal{F}(\overline{V})$ , so that  $B = B_L$ . We show that B satisfies  $(P_0), (P_1)$ . If  $B = \emptyset$ , this is obvious. If  $B \neq \emptyset$ , we have  $L = \overline{p}_s^{-1}(L')$  where  $s \in S, L' \in \mathcal{F}(\overline{V}_s)$ . From the definition we have  $\tau_s(B_{L'}) = B_L$ . By the induction hypothesis,  $B_{L'}$  satisfies  $(P_0), (P_1)$ ; using (b), we see that  $B = B_L$  satisfies  $(P_0), (P_1)$ .

Conversely, assume that B satisfies  $(P_0), (P_1)$ . We show that  $B = B_L$  for some  $L \in \mathcal{F}(\bar{V})$ . If  $B = \emptyset$  this is obvious. Thus we can assume that  $B \neq \emptyset$ . Let  $I \in B$  be such that |I| is minimum. If  $s \in I^{ev}$  (see 1.1) then by  $(P_1)$  we can find  $I' \in B$  with  $s \in I', |I'| < |I|$ , a contradiction. We see that  $I^{ev} = \emptyset$ . Thus,  $I = \{s\}$  for some  $s \in S$ . Using  $(P_0)$  and  $\{s\} \in B$ , we see that for any  $I' \in B - \{s\}$  we have  $\{s\} \prec I'$  or  $I' \spadesuit \{s\}$ . It follows that  $B = \tau_s(B')$  for some  $B' \in R_s$ . From (b) we see that B' satisfies  $(P_0), (P_1)$ . From the induction hypothesis we see that  $B' = B_{L'}$  for some  $L' \in \mathcal{F}(\bar{V}_s)$ . Let  $L = \bar{p}_s^{-1}(L')$ . We have  $L \in \mathcal{F}(\bar{V})$  and  $B = B_L$ . This proves (a).

We see that we have a bijection

(c)  $\phi(\bar{V}) \xrightarrow{\sim} \mathcal{F}(\bar{V}), B \mapsto L_B.$ 

Using now 2.1(b) we see that 1.2(i) holds for any  $B \in \phi(\overline{V})$ .

**2.3.** We now fix  $t \in S$ . Let  $B \in \mathcal{F}(\bar{V})$ , let  $L = L_B \in \mathcal{F}(\bar{V})$  and let  $B^t = B_L^t$  (see 2.1). For any  $s \in S - \{t\}$  we set

$$f_s(B) = |\{I \in B^t \cap \mathcal{I}^1; s \in I\}| - |\{I \in B^t \cap \mathcal{I}^0; s \in I\}| - |B^t \cap \mathcal{I}^0|$$

where for any  $m \in \mathbf{Z}$  we set  $\underline{m} = 0$  if m is even,  $\underline{m} = 1$  if m is odd. We also set

$$\epsilon'(B) = \sum_{s \in S - \{t\}} (1/2) f_s(B) (f_s(B) + 1) \bar{e}_s \in \bar{V}.$$

From [2], [3] we see using 2.2(c) that:

(a) we have  $\epsilon'(B) \in L_B$  for any  $B \in \phi(\bar{V})$  and  $B \mapsto \epsilon'(B)$  defines a bijection  $\epsilon' : \phi(\bar{V}) \xrightarrow{\sim} \bar{V}$ .

**2.4.** We wish to rewrite the bijection  $\epsilon': \phi(\bar{V}) \xrightarrow{\sim} \bar{V}$  without reference to  $t \in S$ . Recall that for any  $B \in \phi(\bar{V})$  and any  $s \in S$  we have

(a)  $g_s(B) = |\{I \in B; s \in I\}| \in \mathbb{N}.$ Setting  $\beta = |B^t \cap \mathcal{I}^0|$  where  $B^t = B_L^t$ ,  $L = L_B$  (see 2.1) we have (b)  $g_t(B) = \beta.$ For  $s \in S - \{t\}$  we show: (c)  $f_s(B) = g_s(B) - \beta - \underline{\beta}$ that is,

$$|\{I \in B^t \cap \mathcal{I}^1; s \in I\}| - |\{I \in B^t \cap \mathcal{I}^0; s \in I\}| = |\{I \in B; s \in I\}| - \beta.$$

To prove this, we substitute  $|\{I \in B; s \in I\}|$  by

$$|\{I \in B^t \cap \mathcal{I}^1; s \in I\}| + |\{I \in B^t \cap \mathcal{I}^0; s \notin I\}|.$$

We see that desired equality becomes

$$\begin{split} |\{I \in B^t \cap \mathcal{I}^1; s \in I\}| - |\{I \in B^t \cap \mathcal{I}^0; s \in I\}| \\ = |\{I \in B^t \cap \mathcal{I}^1; s \in I\}| + |\{I \in B^t \cap \mathcal{I}^0; s \notin I\}| - \beta \end{split}$$

which is obvious.

We shall prove the following formula for  $\epsilon'(B)$ :

(d.) 
$$\epsilon'(B) = \sum_{s \in S} (1/2) g_s(B) (g_s(B) + 1) \bar{e}_s$$

Using (c) we have for  $s \in S - \{t\}$ :

$$(1/2)f_s(B)(f_s(B)+1) = (1/2)(g_s(B) - \beta - \underline{\beta})(g_s(B) - \beta - \underline{\beta} + 1) = (1/2)g_s(B)(g_s(B)+1) + H$$

where

$$H = (1/2)(g_s(B)(-2\beta - 2\underline{\beta}) + (\beta + \underline{\beta})^2 - \beta - \underline{\beta}).$$

Note that

$$-2\beta - 2\underline{\beta} = 0 \mod 4, (\beta + \underline{\beta})^2 = 0 \mod 4, -\beta - \underline{\beta} = -\beta(\beta + 1) \mod 4$$

hence  $H = -\beta(\beta + 1) \mod 2$ . Thus,

$$\begin{aligned} \epsilon'(B) &= \sum_{s \in S - \{t\}} (1/2) g_s(B) (g_s(B) + 1) \bar{e}_s + \sum_{s \in S - \{t\}} (1/2) g_t(B) (g_t(B) + 1) \bar{e}_s \\ &= \sum_{s \in S} (1/2) g_s(B) (g_s(B) + 1) \bar{e}_s. \end{aligned}$$

We have used that  $\sum_{s \in S} \bar{e}_s = 0$ . This proves (d).

From (d) and 2.3(a) we see that 1.2(ii),(iii) hold in our case with  $\bar{V}_0 = \bar{V}$ ; moreover,  $\epsilon'$  in 2.3 is the same as  $\epsilon$  in 1.2.

**2.5.** From the results in [2],[3] it is known that if B, B' in  $\phi(\overline{V})$  satisfy  $\epsilon'(B') \in L_B$  (that is,  $\epsilon(B') \in L_B$ ), then  $f_s(B') \leq f_s(B)$  for any  $s \in S - \{t\}$  and  $|B_{L'}^t \cap \mathcal{I}^0| \leq |B_L^t \cap \mathcal{I}^0|$ . (Notation of 2.1 with  $L = L_B, L' = L_{B'}$ .) We show that

(a)  $g_s(B') \leq g_s(B)$  for any  $s \in S$ .

When s = t this follows from 2.4(b). We now assume that  $s \neq t$ . Using 2.4(c) we have

$$g_s(B') + g_t(B') + \underline{g_t(B')} \le g_s(B) + g_t(B) + \underline{g_t(B)}$$

hence it is enough to show that

(b)  $g_t(B) - g_t(B') + \underline{g_t(B)} - \underline{g_t(B')} \ge 0.$ If  $g_t(B') = g_t(B)$ , then (b) is obvious. Assume now that  $g_t(B') \neq g_t(B)$ . As we have

seen above, we have  $g_t(B') \leq g_t(B)$  hence  $g_t(B) - g_t(B') \geq 1$ . We have  $\underline{g_t(B)} - \underline{g_t(B')} \in \{0, 1, -1\}$ , hence (b) holds. This proves (a).

We see that 1.2(iv) holds in our case. Thus Theorem 1.4 is proved in case 1.3(c).

In the remainder of this paper we write  $\bar{\epsilon}$  instead of  $\epsilon : \phi(\bar{V}) \to \bar{V}$  to distinguish it from  $\epsilon$  in cases 1.3(a),(b).

**2.6.** We note:

(a) If  $B \in \phi(\overline{V})$ , then  $\operatorname{supp}(B) \neq S$ . This holds since B has property  $(P_0)$ .

**2.7.** For  $t \in S$  let V(t) be the *F*-subspace of *V* with basis  $\{e_s; s \in S - \{t\}\}$ . Then V(t) with this basis and the restriction of  $\langle , \rangle$  is as in 1.3(a). Let R(t) be the analogue of *R* when *V* in 1.3(a) is replaced by V(t); we have  $R(t) \subset R$ . Then  $\phi(V(t))$  (a collection of elements of R(t)) is defined. From the definition we have  $\phi(V(t)) \subset \phi(V)$ . Now let  $B \in \phi(V)$ . By 2.6(a) we can find  $t \in S$  such that  $\operatorname{supp}(B) \subset S - \{t\}$ . Now *B* satisfies  $(P_0), (P_1)$  relative to V(t). Hence we have  $B \in \phi(V(t))$ . We see that

(a)  $\phi(\overline{V}) = \bigcup_{t \in S} \phi(V(t)).$ 

From the definitions we see that for any  $t \in S$  the following diagram is commutative:

$$\begin{array}{c|c} \phi(V(t)) & \longrightarrow \phi(\bar{V}) \\ & \epsilon \\ & \downarrow & \bar{\epsilon} \\ & \downarrow & V(t)_0 & \longrightarrow \bar{V} \end{array}$$

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Here the left vertical maps are as in 1.2; the horizontal maps are the obvious inclusions.

**2.8.** We wish to compare the approach to  $\phi(\bar{V})$  given in this paper with that in [4]. Let  $S' = \mathfrak{E}$ . We can regard S' as a set of vertices of a graph in which  $\{s_1, s_2\} \in \mathfrak{E}, \{s_3, s_4\} \in \mathfrak{E}$ are joined whenever  $|\{s_1, s_2\} \cap \{s_3, s_4\}| = 1$ . Thus the set  $\mathfrak{E}'$  of edges of this graph is in obvious bijection with S. Note that the graph  $(S', \mathfrak{E}')$  is isomorphic to  $(S, \mathfrak{E})$  hence the analogues  $\bar{V}', \mathcal{I}'^1, \phi(\bar{V}')$  of  $\bar{V}, \mathcal{I}^1, \phi(\bar{V})$  when  $(S, \mathfrak{E})$  is replaced by  $(S', \mathfrak{E}')$  are defined. We can view  $\bar{V}'$  as the F-vector space consisting of all subsets of S of even cardinal in which the sum of X, X' is  $(X \cup X') - (X \cap X')$ , which is endowed with the symplectic form  $X, X' \mapsto |X \cap X'| \mod 2$  and with a circular basis consisting of all two elements subsets of S which are in  $\mathfrak{E}$ . This circular basis is therefore indexed by S'. Now an object of  $\mathcal{I}'^1$  is a subgraph of type  $A_{2k+1}$   $(k \ge 0)$  of S', that is with vertices of the form  $\{s_1, s_2\}, \{s_2, s_3\}, \dots, \{s_{2k+1}, s_{2k+2}\}$ ; this is the same as a graph of type  $A_{2k+2}$  of S (with vertices  $s_1, s_2, \ldots, s_{2k+2}$  and is completely determined by the pair of (distinct) elements  $s_1, s_{2k+2}$ . Thus  $\mathcal{I}'^1$  can be identified with the set of two element subsets of S. In this way  $\mathcal{I}'^1$  appears as a subset of  $\bar{V}'$  and each X in  $\mathcal{I}'^1$  determines a subgraph of type  $A_{2k+2}$  $(k \ge 0)$  of S; the set of vertices of this subgraph is denoted by X. (We have  $X \subset \overline{V}'$  and  $X \subset \underline{X}$ .)

Now  $\phi(V')$  becomes the set of all unordered pairs  $X_1, X_2, \ldots, X_k$  of two element subsets of S such that  $X_i \cap X_j = \emptyset$  for  $i \neq j$  and such that for any  $i \in \{1, 2, \ldots, k\}$  there exists  $j_1 < j_2 < \ldots < j_s$  in  $\{1, 2, \ldots, k\}$  such that

$$\underline{X_i} - X_i = \underline{X_{j_1}} \sqcup \underline{X_{j_2}} \sqcup \ldots \sqcup \underline{X_{j_s}}.$$

This approach appears in [4] (in a less symmetric and more complicated way) where S is taken to be  $S_N = \{1, 2, ..., N\}$  with  $\mathfrak{E}$  consisting of  $\{1, 2\}, \{2, 3\}, ..., \{N-1, N\}, \{N, 1\}$ .

The set  $\mathcal{X}_{N-1}$  defined in [4, 1.3] is the same as  $\phi(\bar{V}')$  although its definition is less symmetric and more complicated. Hence it is the same as  $\phi(\bar{V})$  if  $\bar{V}, \bar{V}'$  are identified by  $\bar{e}_s \mapsto \{s, s+1\}$  if  $s \in \{1, 2, ..., N-1\}$  and  $\bar{e}_N \mapsto \{N, 1\}$ .

### 3 The case 1.3(b)

**3.1.** In this section we assume that we are in the setup of 1.3(b). Let  $V_0$  be the set of all vectors of V which are of the form  $e_I$  with  $I \subset S, I \neq \emptyset, I \neq S$  such that  $|c(I) \cap \mathcal{I}^0|$  is even (here  $c(I) \subset \mathcal{I}$  is as in 1.4); let  $V_1$  be the set of all vectors of V which are of the form  $e_S$  or  $e_I$  with  $I \subset S, I \neq \emptyset, I \neq S$  such that  $|c(I) \cap \mathcal{I}^0|$  is odd. We have clearly:

(a)  $V = V_0 \sqcup V_1$ .

We show:

(b) If  $I \subset S, I \neq \emptyset, I \neq S$ , then  $e_I \in V_0$  if and only if  $e_{S-I} \in V_1$ . In particular,  $x \mapsto x + e_S$  is a bijection  $V_0 \xrightarrow{\sim} V_1$ .

We have  $c(I) = \{I_1, I_3, \ldots, I_{2r-1}\}$ ,  $c(S - I) = \{I_2, I_4, \ldots, I_{2r}\}$  and (if r > 1) we have  $I_1 \cup I_2 \in \mathcal{I}, I_2 \cup I_3 \in \mathcal{I}, \ldots, I_{2r-1} \cup I_{2r} \in \mathcal{I}, I_{2r} \cup I_1 \in \mathcal{I}$ ; in particular, we have |c(I)| = |c(S - I)|. (This remains true also when r = 1.) Hence, setting  $c^0(I) = c(I) \cap \mathcal{I}^0$ ,  $c^1(I) = c(I) \cap \mathcal{I}^1$ , we have

$$|c^{0}(I)| - |c^{0}(S - I)| = -|c^{1}(I)| + |c^{1}(S - I)|.$$

Modulo 2 this equals

$$\begin{split} |c^{1}(I)| + |c^{1}(S - X)| &= \sum_{I' \in c^{1}(I)} |I'| + \sum_{I' \in c^{1}(S - I)} |I'| \\ &= \sum_{I' \in c^{1}(I)} |I'| + \sum_{I' \in c^{1}(S - I)} |I'| + \sum_{I' \in c^{0}(I)} |I'| + \sum_{I' \in c^{0}(S - I)} |I'| \\ &= \sum_{I' \in c(I)} |I'| + \sum_{I \in c(S - I)} |I'| = |I| + |S - I| = |S|. \end{split}$$

Since |S| is odd, we see that

(c)  $|c^0(I)| - |c^0(S - I)| = 1 \mod 2$ so that (b) holds.

We show:

(d) Let  $\pi_0: V_0 \to \overline{V}$  be the restriction of  $\pi: V \to \overline{V}$ . Then  $\pi_0$  is a bijection. Assume that  $v \neq v'$  in  $V_0$  satisfy  $\pi(v) = \pi(v')$ . If v = 0, then  $v' \in \pi^{-1}(0) - \{0\}$  hence  $v' = e_S$ . But  $e_S \notin V_0$ , a contradiction. If  $v \neq 0$ , then  $v = e_I, v' = e_{S-I}$  with  $I \subset S, I \neq \emptyset, I \neq S$ . Now  $|c^0(I)|$  is even,  $|c^0(S - I)|$  is even; but the sum of these numbers is odd by (c), a contradiction. We see that  $\pi_0$  is injective.

From (b) we see that  $|V_0| = |V_1|$  so that both of these numbers are equal to  $(1/2)|V| = 2^{N-1}$ . We see that  $\pi_0$  is an injective map between two finite sets with  $2^{N-1}$  elements; hence it is a bijection. This proves (d).

**3.2.** Note that the sets  $R, \mathcal{I}$  for this V and for  $\overline{V}$  in 1.3(c) are the same. Hence we have  $\phi(V) = \phi(\overline{V})$ . For  $B \in \phi(V)$  we denote by  $M_B$  (resp.  $L_B$ ) the subspace of V (resp.  $\overline{V}$ ) generated by  $\{e_I; I \in B\}$  (resp.  $\{\overline{e}_I; I \in B\}$ ). Since  $\{\overline{e}_I; I \in B\}$  is a basis of  $L_B$ , we see that  $\{e_I; I \in B\}$  is a basis of  $M_B$  and that  $\pi$  restricts to an isomorphism  $M_B \xrightarrow{\sim} L_B$ . If  $I \in \mathcal{I}$  is such that  $e_I \in M_B$ , then  $\overline{e}_I = \pi(e_I) \in L_B$  and by 1.2(i) for  $\overline{V}$  we have  $I \in B$ . We see that  $\phi(V)$  satisfies 1.2(i).

For  $B \in \phi(V)$  we show:

(a) We have  $M_B \subset V_0$  (notation of 3.1). Moreover,  $\pi^{-1}(L_B) = M_B \oplus Fe_S$ . By 2.7(a) we can find  $t \in S$  such that  $B \in \phi(V(t))$ . By 1.5(a) the subspace of V (or V(t)) spanned by  $\{e_I; I \in B\}$  is contained in  $V(t)_0$ . Thus,  $M_B \subset V(t)_0$ .

Let  $x \in M_B$ . We have  $x \in V(t)_0$ ; since  $e_S \notin V(t)$  we have  $x = e_I$  for some  $I \subset S$ ,  $I \neq S$ . By the definition of  $V(t)_0$  we have  $|c(I)^{0+}| = |c(I)^{0-}|$  (see 1.5) so that  $|c^0(I)| = |c(I)^{0+}| + |c(I)^{0-}|$  is even and  $e_I \in V_0$ . Thus  $x \in V_0$ . This proves the first assertion of (a). For the second assertion we note that  $M_B$  is a hyperplane in  $\pi^{-1}(L_B)$  and that  $e_S \in \pi^{-1}(L_B)$ . It remains to note that  $e_S \notin M_B$  (since  $e_S \notin V(t)$ ).

**3.3.** Consider the map  $\epsilon : \phi(V) \to V$  in 1.2(ii). For  $B \in \phi(V)$  we show:

(a) We have  $\epsilon(B) \in M_B$ . In particular we have  $\epsilon(B) \in V_0$ .

(See 3.2(a).) As in the proof of 3.2(a) we can assume that  $B \in \phi(V(t))$  where  $t \in S$ . Using the commutative diagram in 2.7 we are reduced to property 1.2(ii) for V(t) which is already known.

We show:

(b) The map  $\epsilon : \phi(V) \to V$  restricts to a bijection  $\phi(V) \xrightarrow{\sim} V_0$ .

The composition  $\pi\epsilon: \phi(V) \to V$  is the same as the map  $\epsilon$  for V hence is a bijection. It

follows that  $\epsilon : \phi(V) \to V$  is injective and its image has exactly  $2^{N-1}$  elements. Since this image is contained in  $V_0$  (see (a)) and  $|V_0| = 2^{N-1}$ , we see that (b) holds.

We show:

(c)  $V_0 = \bigcup_{B \in \phi(V)} M_B$ 

The right hand side is contained in the left hand side by 3.2(a). Now let  $x \in V_0$ . By [2] we have  $\overline{V} = \bigcup_{L \in \mathcal{F}(\overline{V})} L$ . Thus, we have  $\pi(x) \in L_B$  for some  $B \in \phi(V)$ . It follows that we have  $x \in \pi^{-1}(L_B) = M_B \oplus Fe_S$ . It is enough to show that  $x \in M_B$ . If  $x \notin M_B$ , then  $x + e_S \in M_B$  so that by (a) we have  $x + e_S \in V_0$ . Using 3.1(b) we then have  $x \in V_1$ , contradicting  $x \in V_0$ . This proves (c).

We see that  $\phi(V)$  satisfies 1.2(ii),(iii).

Now let B, B' in  $\phi(V)$  be such that  $\epsilon(B') \in M_B$ . Applying  $\pi$  we see that  $\pi\epsilon(B') \in L_B$ . Note that  $\pi\epsilon$  is the same as  $\epsilon$  relative to  $\bar{V}$ . Since  $\phi(\bar{V})$  satisfies 1.2(iv), we see that  $g_s(B') \leq g_s(B)$  for any  $s \in S$ . (The function  $g_s$  is the same for V as for  $\bar{V}$ .) Thus, 1.2(iv) holds for  $\phi(V)$ . This completes the proof of Theorem 1.4.

**3.4.** Let  $B \in \phi(V) = \phi(\overline{V})$  be such that  $B \neq \emptyset$ . Then  $\operatorname{supp}(B) \neq \emptyset$  and by 2.6 we have  $\operatorname{supp}(B) \neq S$  hence the subset  $c(\operatorname{supp}B)$  of  $\mathcal{I}$  is defined as in 1.3. As in the proof of 3.1(b) we have  $c(\operatorname{supp}(B)) = \{I_1, I_3, \ldots, I_{2r-1}\}, c(S - \operatorname{supp}(B)) = \{I_2, I_4, \ldots, I_{2r}\}$  for some  $r \ge 1$ . Since  $e_{I_1 \cup I_3 \cup \ldots I_{2r-1}} \in V_0$ , from 3.1(b) we see that  $e_{I_2 \cup I_4 \cup \ldots I_{2r}} \in V_1$ , so that

(a)  $|I_k|$  is even for some  $k \in \{2, 4, ..., 2r\}$ . In particular there exist s, s' in S such that  $\{s, s'\} \in \mathfrak{E}$  and  $\operatorname{supp}(B) \cap \{s, s'\} = \emptyset$ .

We show:

(b)  $|B| \le (|S| - 1)/2.$ 

A proof identical to that of [2, 1.3(g)] shows: (c) If  $I \in B$  then  $|\{I' \in B; I' \subset I\}| = (|I| + 1)/2$ . Using (c) we have

$$\begin{aligned} |B| &= \sum_{I \in c(\text{supp}(B))} = \sum_{I \in \chi(\text{supp}B)} |\{I' \in B; I' \subset I\}| \\ &\leq \sum_{I \in \chi(\text{supp}B)} (|I|+1)/2 = (|I_1|+1)/2 + (|I_3|+1)/2 + \dots + (|I_{2r-1}|+1)/2 \\ &= (|I_1|+|I_3|+\dots+|I_{2r-1}|+r)/2 = (|S|-|I_2|-|I_4|-\dots-|I_{2r}|+r)/2 \leq |S|/2. \end{aligned}$$

Thus  $|B| \leq |S|/2$ . Since  $|B| \in \mathbf{N}$  and |S| is odd we see that (b) holds. We show:

(d) We have |B| = (|S| - 1)/2 if and only if we have  $|I_k| = 1$  for all  $k \in \{2, 4, ..., 2r\}$ except for a single value of k for which  $|I_k| = 2$ .

Assume first that |B| = (|S|-1)/2. The proof of (c) shows that in our case  $(|S|-|I_2|-|I_4|-\ldots-|I_{2r}|+r)/2$  is equal to (|S|-1)/2 or to |S|/2, hence  $(|I_2|-1)+(|I_4|-1)+\ldots+(|I_{2r}|-1)$  is equal to 1 or 0. Thus either (d) holds or else we have  $|I_k| = 1$  for all  $k \in \{2, 4, \ldots, 2r\}$  without exception. This last possibility is excluded by (a). This proves one implication of (d). The reverse implication follows from the proof of (c).

**3.5.** Let **e** be a two element subset of *S* such that  $\mathbf{e} \in \mathfrak{E}$ . Let  $[\mathbf{e}] = \bar{e}_{(S-\mathbf{e})^{odd}} \in \bar{V}$ . We define a linear function  $z_{\mathbf{e}} : \bar{V} \to F$  by  $z_{\mathbf{e}}(\bar{e}_s) = 1$  if  $s \in \mathbf{e}$ ,  $z_{\mathbf{e}}(\bar{e}_s) = 0$  if  $s \in S - \mathbf{e}$ . Note that the radical of  $\langle , \rangle |_{z_{\mathbf{e}}^{-1}(0)}$  is  $F[\mathbf{e}]$ .

Let  $B \in \phi(V)$ . The following result is used in [4, 3.5].

(a) If  $[\mathbf{e}] \in L_B$  then supp $(B) \cap \mathbf{e} = \emptyset$  and |B| = (|S| - 1)/2.

Let  $B^* \in \phi(\bar{V})$  be the subset of R consisting of the various  $\{s\}$  with  $s \in (S - \mathbf{e})^{odd}$ . We have  $[\mathbf{e}] = \epsilon(B^*)$  so that  $B^* \leq B$ . Using 1.2(iv), we see that  $g_s(B^*) \leq g_s(B)$  for all  $s \in S$ . It follows that  $g_s(B) \geq 1$  for all  $s \in (S - \mathbf{e})^{odd}$ . Thus  $(S - \mathbf{e})^{odd} \subset \operatorname{supp}(B)$ .

Let  $\{I_{i_1}, I_{i_2}, \ldots, I_{i_l}\}$  be the subset of  $\{I_2, I_4, \ldots, I_{2r}\}$  consisting of those  $I_k$  (k even) such that  $|I_k| \geq 2$ . This subset is nonempty by 3.4(a). Let  $I \in \{I_{i_1}, I_{i_2}, \ldots, I_{i_l}\}$ . We have  $I \cap \operatorname{supp}(B) = \emptyset$  hence  $I \cap (S - \mathbf{e})^{odd} = \emptyset$ . If  $I \neq \mathbf{e}$  then, since  $|I| \in \{2, 4, 6, \ldots\}$  we have  $I \cap (S - \mathbf{e})^{odd} \neq \emptyset$ , a contradiction. Thus,  $I = \mathbf{e}$ . We see that  $\mathbf{e} \cap \operatorname{supp}(B) = \emptyset$  that is  $\operatorname{supp}(B) \subset S - \mathbf{e}$ . Moreover,  $\{I_{i_1}, I_{i_2}, \ldots, I_{i_l}\}$  consists of a single object namely  $\mathbf{e}$ . It remains to use 3.4(d).

Conversely,

(b) If  $\operatorname{supp}(B) \cap \mathbf{e} = \emptyset$  and |B| = (|S| - 1)/2, then  $[\mathbf{e}] \in L_B$ .

Note that  $L_B$  is an isotropic subspace of  $\zeta_{\mathbf{e}}^{-1}(0)$  and in fact a maximal one since dim $(L_B) = (\dim(\zeta_{\mathbf{e}}^{-1}(0))+1)/2$ . But any maximal isotropic subspace of  $\zeta_{\mathbf{e}}^{-1}(0)$  must contain the radical  $F[\mathbf{e}]$ . Thus, (b) holds.

#### 4 Complements

**4.1.** In this subsection we assume that  $(V, <>, e : S \to V)$  is as in 1.3(a), but the condition that  $N \in \{3, 5, 7, \ldots\}$  is replaced by the condition that  $N \in \{4, 6, 8, \ldots\}$ . From the results in [1] one can deduce that  $(V, <>, e : S \to V)$  is still perfect with  $V_0$  having the same description as in 1.5. Let S' be a subset of S such that  $S' \in \mathcal{I}$ , |S'| = |S| - 1. Let V' be the subspace of V spanned by  $\{e_s; s \in S'\}$ . Then V' with the restriction of <, > to V' and with  $S' \to V', s \mapsto e_s$  is as in 1.3(a) so that  $\phi(V')$  and the image  $V'_0$  of  $\epsilon : \phi(V') \to V'$  is defined. Let  $S^{odd} \subset S$  be as in 1.1. (This is defined since  $S \in \mathcal{I}^1$ .) Note that the radical of <, > on V is  $Fe_{S^{odd}}$ . One can show that

(a)  $V_0 = V'_0 \sqcup (V'_0 + e_{S^{odd}}).$ 

Hence there is a unique fixed point free involution  $B \mapsto B'$  of  $\phi(V)$  such that  $\epsilon(B') = \epsilon(B) + e_{S^{odd}}$  for all  $B \in \phi(V)$ .

**4.2.** In this subsection we assume that  $(V, <>, e : S \to V)$  is as in 1.3(b); we preserve the notation of Section 3.

Let  $\mathcal{F}(V)$  (resp.  $\mathcal{F}^1(V)$ ) be the collection of subspaces of V of the form  $M_B$  (resp.  $M_B \oplus Fe_S$ ) for various  $B \in \phi(V)$ . Let  $\tilde{\mathcal{F}}(V) = \mathcal{F}(V) \sqcup \mathcal{F}^1(V)$ . We show that  $\tilde{\mathcal{F}}(V)$  has properties similar to those of  $\mathcal{F}(V)$ . We define  $\tilde{\epsilon} : \tilde{\mathcal{F}}(V) \to V$  by  $\tilde{\epsilon}(M_B) = \epsilon(B)$ ,  $\tilde{\epsilon}(M_B \oplus Fe_S) = \epsilon(B) + e_S$ . Note for any  $X \in \tilde{\mathcal{F}}(V)$  we have  $\tilde{\epsilon}(X) \in X$ . (This is similar to 1.2(ii).)

Now  $\tilde{\epsilon}$  restricts to the bijection  $\mathcal{F}(V) \xrightarrow{\sim} V_0$ ,  $M_B \mapsto \epsilon(B)$  and to the bijection  $\mathcal{F}^1(V) \to V_1$ ,  $M_B \oplus Fe_S \mapsto \epsilon(B) + e_S$  (recall the bijection  $x \mapsto x + e_S$ ,  $V_0 \xrightarrow{\sim} V_1$ ). Hence  $\tilde{\epsilon}$  is a bijection. (This is similar to 1.2(iii).)

For X, X' in  $\tilde{\mathcal{F}}(V)$  we say that  $X' \leq X$  if one of the following holds:  $X = M_B, X' = M_{B'}$  and  $B' \leq B$  in the partial order 1.2(a) on  $\phi(V)$ ;  $X = M_B \oplus Fe_S, X' = M_{B'} \oplus Fe_S$  and  $B' \leq B$  in the partial order 1.2(a) on  $\phi(V)$ ;  $X = M_B \oplus Fe_S, X' = M_{B'}$  and  $B' \leq B$  in the partial order 1.2(a) on  $\phi(V)$ . This is a partial order on  $\tilde{\mathcal{F}}(V)$ . (This is similar to 1.2(iv).) **4.3.** In this subsection we assume that  $(V, <>, e : S \to V)$  (as in 1.1) is perfect. Let  $B \in \phi(V)$ . We will give an alternative formula for  $\overline{\epsilon}(B)$ .

We define a partition  $B = B_1 \sqcup B_2 \sqcup B_3 \sqcup \ldots$  as follows.

 $B_1$  is the set of all  $I \in B$  such that I is not properly contained in any  $I' \in B$ . Now  $B_2$  is the set of all  $I \in B - B_1$  such that I is not properly contained in any  $I' \in B - B_1$ . Now  $B_3$  is the set of all  $I \in B - (B_1 \cup B_2)$  such that I is not properly contained in any  $I' \in B - (B_1 \cup B_2)$ , etc.

For  $k \geq 1$  we set

 $v_k(B) = \sum_{I \in B_k} e_I \in V.$ We have

(a)  $\bar{\epsilon}(B) = v_1(B) + v_3(B) + v_5(B) + \dots$ 

Let  $s \in S$ . There is a unique sequence  $I_1 \in B_1, I_2 \in B_2, \ldots, I_l \in B_l$  such that  $s \in I_l \subset I_{l-1} \subset \ldots \subset I_1$  and  $s \notin \bigcup_{I \in B_{l+1}} I$ . The coefficient of  $e_s$  in  $v_1(B) + v_3(B) + v_5(B) + \ldots$  is 0 if  $l = 0 \mod 4$ ; is 1 if  $l = 1 \mod 4$ ; is 1 if  $l = 2 \mod 4$ ; is 0 if  $l = 3 \mod 4$ . We have  $g_s(B) = l$ . Note that  $(1/2)l(l+1) \mod 2$  is 0 if  $l = 0 \mod 4$ ; is 1 if  $l = 1 \mod 4$ ; is 1 if  $l = 2 \mod 4$ ; is 1 if  $l = 1 \mod 4$ ; is 1 if  $l = 2 \mod 4$ ; is 1 if  $l = 1 \mod 4$ ; is 1 if  $l = 2 \mod 4$ ; is 1 if  $l = 1 \mod 4$ ; is 1 if  $l = 2 \mod 4$ ; is 0 if  $l = 3 \mod 4$ . This proves (a).

**4.4.** In this subsection we are in the setup of 2.1. Let  $\bar{V}^{\mathbf{C}}$  be the **C**-vector space of functions  $\bar{V} \to \mathbf{C}$ . For any  $x \in \bar{V}$  let  $f_x \in \bar{V}^{\mathbf{C}}$  be the function which takes value 1 on the subspace  $L_{\bar{\epsilon}^{-1}(x)}$  of  $\bar{V}$  and the value 0 on the complement of that subspace; let  $f'_x \in \bar{V}^{\mathbf{C}}$  be the function which takes value 1 on the subspace  $\{x' \in \bar{V}; < x', L_{\bar{\epsilon}^{-1}(x)} >= 0\}$  of  $\bar{V}$  and the value 0 on the complement of that subspace; let  $f'_x \in \bar{V}^{\mathbf{C}}$  be the function which takes value 1 on the subspace  $\{x' \in \bar{V}; < x', L_{\bar{\epsilon}^{-1}(x)} >= 0\}$  of  $\bar{V}$  and the value 0 on the complement of that subspace. From Theorem 1.4 we see that for  $x \in \bar{V}$  we have  $f'_x = \sum_{y \in \bar{V}} c_{y,x} f_y$  where  $c_{y,x} \in \mathbf{Z}$ . Moreover, from the triangularity of Fourier transform [3] we see that  $c_{y,x} = 0$  unless x = y or dim  $L_{\bar{\epsilon}^{-1}(x)} < \dim L_{\bar{\epsilon}^{-1}(y)}$  and that  $c_{x,x} = \pm 2^k$  for some  $k \in \mathbf{N}$ . We conjecture that

(a) for any x, y in  $\overline{V}$ , we have either  $c_{y,x} = 0$  or  $c_{y,x} = \pm 2^k$  for some  $k \in \mathbb{N}$ . The dihedral group  $Di_{2N}$  of order 2N acts naturally on  $\overline{V}$ ; see 1.3. Let  $Z_N$  be a set of representatives for the  $Di_{2N}$ -orbits. Assume for example that x = 0. Then  $y \mapsto c_{y,0}$  is constant on each  $Di_N$ -orbit. We describe this function assuming that  $S = S_N$  (see 2.8) and N = 7. We can take

(b)  $\{1245\}, \{12345\}, \{1235\}, \{135\}, \{123\}, \{14\}, \{13\}, \{1\}, \{\emptyset\}$ 

where we write  $i_1 i_2 \dots i_m$  instead of  $\bar{e}_{i_1} + \bar{e}_{i_2} + \dots + \bar{e}_{i_m}$ . The value of  $y \mapsto c_{y,0}$  at the 9 elements in (b) (in the order written) is

1, 0, 1, -1, -1, 0, 1, -2, 8.

# 5 The set $\omega(\bar{V})$

**5.1.** In this section we assume that  $(\overline{V}, <>, \pi e : S \to \overline{V})$  is as in 1.3(c). We fix a two element subset **e** of S such that  $\mathbf{e} \in \mathfrak{E}$ .

**5.2.** For  $B \in R$  we set

$$a_B = |\{I \in B; \mathbf{e} \subset I\}| \in \mathbf{N}.$$

Let  $\phi(\overline{V})^{\mathbf{e}} = \{B \in \phi(\overline{V}); \operatorname{supp}(B) \cap \mathbf{e} \neq \emptyset\}.$ 

If  $B \in \phi(\bar{V})^{\mathbf{e}}$  (in particular if  $n_B > 0$ ), then using  $(P_0), (P_1)$ , we see that there is a unique  $I_B \in B$  such that  $|I_B \cap \mathbf{e}| = 1$ .

We have  $\phi(\bar{V})^{\mathbf{e}} = \bigsqcup_{\tau \in \mathbf{e}} \phi(\bar{V})^{\tau}$  where  $\phi(\bar{V})^{\tau} = \{B \in \phi(\bar{V})^{\mathbf{e}}; \tau \in I_B\}.$ 

For  $B \in \phi(\bar{V})$  we define  $B^! \in R$  by  $B^! = B - \{I_B\}$  if  $n_B \in \{1, 3, 5, \ldots\}$   $B^! = B$  if  $n_B \in \{0, 2, 4, \}$ . Note that for  $B \in \phi(\bar{V})$  we have  $n_{B^!} = n_B$ . We show: (a) If  $B \in \phi(\bar{V})$ ,  $B' \in \phi(\bar{V})$  satisfy  $B^! = B'^!$ , then B = B'.

If  $n_B$  is odd, then from the definition we see that  $B^!$  does not satisfy  $(P_1)$ . Hence to prove (a) we can assume that both  $n_B$  and  $n_{B'}$  are odd.

There is a unique  $I \in B^{!} = B'^{!}$  such that  $\mathbf{e} \subset I$  and such that any  $I' \in B^{!} = B'^{!}$  with  $I' \prec I$  satisfies  $\mathbf{e} \cap I = \emptyset$ . We have  $I \in B, I \in B'$ . Let  $I_1, I_2, \ldots, I_k$  (resp.  $I'_1, I'_2, \ldots, I'_l$ ) be defined in terms of I as in  $(P_1)$  for B (resp. B'). We can assume that  $I_B = I_1$  (resp.  $I_{B'} = I'_1$ ) and  $I_2, I_3, \ldots, I_k$  (resp.  $I'_2, I'_3, \ldots, I'_l$ ) are the maximal objects of  $B^{!}$  (resp.  $B'^{!}$ ) that are strictly contained in I. Hence  $\{I_2, I_3, \ldots, I_k\} = \{I'_2, I'_3, \ldots, I'_l\}$ . Note that  $I_1$  is the unique object of  $\mathcal{I}^1$  such that  $I_1 \blacklozenge I_j$  for j > 1 and  $I^{ev} \subset I_1 \sqcup I_2 \sqcup \ldots \sqcup I_k$ ; similarly  $I'_1$  is the unique object of  $\mathcal{I}^1$  such that  $I'_1 \blacklozenge I'_j$  for j > 1 (that is  $I'_1 \blacklozenge I_j$  for j > 1) and  $I^{ev} \subset I'_1 \sqcup I'_2 \sqcup \ldots \sqcup I'_l$  (that is  $I^{ev} \subset I'_1 \sqcup I_2 \sqcup \ldots \sqcup I_k$ ). It follows that  $I_1 = I'_1$  so that B = B'. This proves (a). Let

$$\omega(\bar{V}) = \{B^!; B \in \phi(\bar{V})\} \subset R.$$

From (a) we see that

(b)  $B \mapsto B^!$  defines a bijection  $\phi(\bar{V}) \xrightarrow{\sim} \omega(\bar{V})$ . For any  $B \in \omega(\bar{V})$  we define  $\tilde{B} \in \phi(\bar{V})$  by  $B = \tilde{B}^!$ . There is a unique bijection  $\epsilon : \omega(\bar{V}) \xrightarrow{\sim} \bar{V}$  such that  $\epsilon(B) = \bar{\epsilon}(\tilde{B})$  for any  $B \in \omega(\bar{V})$ .

There is a unique involution  $\iota : S \to S$  preserving the graph structure and interchanging the two elements of **e**. It induces an involution on R denoted again by  $\iota$  which leaves stable  $\phi(\bar{V})$  and  $\omega(\bar{V})$ .

**5.3.** We now assume that instead of specifying an element  $\mathbf{e}$  of  $\mathfrak{E}$  we specify an element  $\mathbf{e}' \in \mathfrak{E}'$  (see 2.8) that is a pair  $\{s_1, s\}, \{s_2, s\}$  of two distict two edges of S whose intersection is  $\{s\}$  for some  $s \in S$ . In terms of  $\mathbf{e}'$  we have a function  $(X_1, X_2, \ldots, X_k) \mapsto n_{X_1, X_2, \ldots, X_k}$  from  $\phi(\bar{V}')$  (see 2.8) to  $\mathbf{N}$  defined in a way analogous to the way  $B \mapsto n_B$  from  $\phi(\bar{V})$  to  $\mathbf{N}$  was defined in terms of  $\mathbf{e}$ . We have

$$n_{X_1,X_2,\ldots,X_k} = |\{i \in \{1,2,\ldots,k\}, s \subset X_i - X_i\}|.$$

The analogue of the assignment  $B \mapsto I_B$  for  $B \in \phi(\overline{V})$  such that  $n_B > 0$  is the assignment

$$\{X_1, X_2, \dots, X_k\} \mapsto I_{\{X_1, X_2, \dots, X_k\}} = X$$

for any  $\{X_1, X_2, \ldots, X_k\} \in \phi(\bar{V}')$  such that  $n_{X_1, X_2, \ldots, X_k} > 0$ ; here X is the unique  $X_i$  such that  $s \in X_i$ . Then  $\omega(\bar{V}')$  is defined in terms of s in the same way as  $\omega(\bar{V})$  was defined in terms in terms of **e**. Namely  $\omega(\bar{V}')$  consists of the sequences obtained from various sequences  $\{X_1, X_2, \ldots, X_k\} \in \phi(\bar{V}')$  by removing  $X = I_{\{X_1, X_2, \ldots, X_k\}}$  whenever X is defined and by not removing anything whenever X is not defined.

This approach appears in [4] (in a less symmetric and more complicated way) where  $S = S_N$  as in 2.8. The set  $\mathcal{X}_{N-2}$  defined in [4, 1.3] is the same as  $\omega(\bar{V})$  if  $\bar{V}, \bar{V}'$  are identified as in 2.8 and if **e** is taken to be  $\{N-1, N\}$  so that s = N.

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Hence  $\omega(\bar{V})$  is closely related to the theory of unipotent representations of even orthogonal groups over a finite field in the same way as  $\phi(\bar{V})$  is closely related to the theory of unipotent representations of symplectic groups over a finite field.

**5.4.** For  $B \in \omega(\overline{V})$  we denote by  $\langle B \rangle$  the subspace of  $\overline{V}$  spanned by  $\{\overline{e}_I; I \in B\}$ .

For B', B in  $\omega(\overline{V})$  we write  $B' \preceq B$  if there exists a sequence

$$B'=B_0, B_1, B_2, \ldots, B_k=B$$

such that

(a) 
$$'\epsilon(B_0) \in \langle B_1 \rangle, '\epsilon(B_1) \in \langle B_2 \rangle, \dots, '\epsilon(B_{k-1}) \in \langle B_k \rangle.$$

We show:

(b)  $\leq$  is a partial order on  $\omega(\bar{V})$ .

In the setup of (a), for i = 0, 1, ..., k we have  $\langle B_i \rangle \subset L_{\tilde{B}_i}$  hence  $\bar{\epsilon}(\tilde{B}_i) = {}'\epsilon(B_i) \in L_{\tilde{B}_i}$ . We see that if  $B' \preceq B$  then  $\tilde{B}' \leq \tilde{B}$  in  $\phi(\bar{V})$ . It is enough to prove that if  $B' \preceq B$  in  $\omega(\bar{V})$ and  $B \preceq B'$  in  $\omega(\bar{V})$  then B' = B. We have  $\tilde{B}' \leq \tilde{B}$  in  $\phi(\bar{V})$  and  $\tilde{B} \leq \tilde{B}'$  in  $\phi(\bar{V})$ . Since  $\leq$ is a partial order on  $\phi(\bar{V})$  we have  $\tilde{B}' = \tilde{B}$ . It follows that B = B'. This proves (a). (See also [4, 2.10(a)]).

# 6 The subsets $\omega^+(bV), \omega^-(\bar{V})$ of $\omega(\bar{V})$

**6.1.** In this section we preserve the setup of 5.1. Let  $z_{\mathbf{e}} : \overline{V} \to F$  be as in 3.5. Let  $\overline{V}^+ = z_{\mathbf{e}}^{-1}(0), \overline{V}^- = z_{\mathbf{e}}^{-1}(1)$ . We set  $\omega^+(\overline{V}) = \epsilon^{-1}(\overline{V}^+), \omega^-(\overline{V}) = \epsilon^{-1}(\overline{V}^-)$ . We have  $\omega(\overline{V}) = \omega^+(\overline{V}) \sqcup \omega^-(\overline{V})$  and  $\epsilon$  restricts to bijections  $\omega^+(\overline{V}) \to \overline{V}^+, \omega^-(\overline{V}) \to \overline{V}^-$ . We show:

(a) If  $B \in \phi(\bar{V})$ ,  $n_B = 2k + 1$ , then  $\bar{\epsilon}(B) \in \bar{V}^+$  so that  $B^! \in \omega^+(\bar{V})$ . By  $(P_1)$  we can find  $I' \in B$  such that  $I' \cap \mathbf{e} = \{\sigma\}$  for some  $\sigma \in \mathbf{e}$ ; let  $\sigma' \in \mathbf{e}, \sigma' \neq \sigma$ . We then have  $g_{\sigma}(B) = 2k + 2, g_{\sigma'}(B) = 2k + 1$ . We have

$$\bar{\epsilon}_{\sigma}(B) + \bar{\epsilon}_{\sigma'}(B) = (1/2)(2k+2)(2k+3) + (1/2)(2k+1)(2k+2) = (1/2)(2k+2)(4k+4) = 0 \mod 2$$

so that  $z_{\mathbf{e}}(\bar{\epsilon}(B)) = 0$  that is  $\bar{\epsilon}(B) \in \bar{V}^+$ . We show:

(b) If  $B \in \phi(\bar{V})$ ,  $n_B = 2k$ ,  $k \ge 1$ , then  $\bar{\epsilon}(B) \in \bar{V}^-$  so that  $B^! \in \omega^-(\bar{V})$ . By  $(P_1)$  we can find  $I' \in B$  such that  $I' \cap \mathbf{e} = \{\sigma\}$  for some  $\sigma \in \mathbf{e}$ ; let  $\sigma' \in \mathbf{e}, \sigma' \neq \sigma$ . We then have  $g_{\sigma}(B) = 2k + 1, g_{\sigma'}(B) = 2k$ . We have

$$\bar{\epsilon}_{\sigma}(B) + \bar{\epsilon}_{\sigma'}(B) = (1/2)(2k+1)(2k+2) + (1/2)2k(2k+1)$$
$$= (1/2)(2k+1)(4k+2) = (2k+1)^2 = 1 \mod 2$$

so that  $z_{\mathbf{e}}(\bar{\epsilon}(B)) = 1$  that is  $\bar{\epsilon}(B) \in \bar{V}^-$ . Note that  $\{B \in \omega^+(\bar{V}); n_B = 0\} = \{B \in \phi(\bar{V}); \operatorname{supp}(B) \cap \mathbf{e} = \emptyset\}, \{B \in \omega^-(\bar{V}); n_B = 0\} = \{B \in \phi(\bar{V}); |\operatorname{supp}(B) \cap \mathbf{e}| = 1\}.$ 

**6.2.** Let  $B' \in \omega(\overline{V})$ . We write B' = B! where  $B \in \phi(\overline{V})$ .

Assume first that B is as in 6.1(a). Then  $B' \in \omega^+(\bar{V})$  and  $I_B$  is the only  $I \in B$  such that  $|I \cap \mathbf{e}| = 1$ ; since  $B^! = B - I_B$  we see that for any  $I \in B'$  we have  $|I \cap \mathbf{e}| \in \{0, 2\}$ .

Assume next that B is as in 6.1(b). Then  $B' = B \in \omega^{-}(\bar{V})$  and  $I_B$  satisfies  $|I_B \cap \mathbf{e}| = 1$ ; thus, for some  $I \in B'$  we have  $|I \cap \mathbf{e}| = 1$ ,

We now assume that  $n_B = 0$ . If  $\operatorname{supp}(B) \cap \mathbf{e} = \emptyset$ , then clearly we have  $|I \cap \mathbf{e}| = 0$  for any  $I \in B$ . If  $|\operatorname{supp}(B) \cap \mathbf{e}| = 1$ , then clearly we have  $|I \cap \mathbf{e}| = 1$  for some  $I \in B$ .

We see that for  $B \in \omega(V)$  the following holds:

(a)  $B \in \omega^+(\overline{V})$  if and only if  $|I \cap \mathbf{e}| \in \{0, 2\}$  for any  $I \in B$ .

**6.3.** We show:

(a) Let B', B in  $\omega(\bar{V})$  be such that  $B' \preceq B$ . If  $B \in \omega^+(\bar{V})$ , then  $B' \in \omega^+(\bar{V})$ .

We can assume that  $\epsilon(B') \subset B > 0$ . (The general case would follow by using several times this special case.) By 6.2(a) we have  $|I \cap \mathbf{e}| \in \{0, 2\}$  for any  $I \in B$ . It follows that any  $x \in B >$  satisfies  $z_{\mathbf{e}}(x) = 0$ . In particular we have  $z_{\mathbf{e}}(\epsilon(B')) = 0$  so that  $\epsilon(B') \in V^+ = 0$ and  $B' \in \omega^+(V)$ . This proves (a).

# 7 The sets $\mathcal{F}^+(\overline{\bar{V}})^{ au}, \mathcal{F}^-(\overline{\bar{V}})^{ au}$

**7.1.** In this section we preserve the setup of 5.1. For  $\tau \in \mathbf{e}$  let  $\omega(\bar{V})^{\tau} = \{B \in \omega(\bar{V}); \tilde{B} \in \phi(\bar{V})^{\tau}\}$ . We have  $\omega(\bar{V})^{\tau} = \omega^{+}(\bar{V})^{\tau} \sqcup \omega^{-}(\bar{V})^{\tau}$  where for  $\delta \in \{+,-\}$  we set $\omega^{\delta}(\bar{V})\tau = \omega(\bar{V})^{\tau} \cap \omega^{\delta}(\bar{V})$ .

Under the identification  $\omega(\bar{V}) = \omega(\bar{V}')$  in 2.8, 5.3 and with notation of [4, 1.4], the following holds:

If  $n \in \{1, 3, 5, ...\}$ , then  $\{B \in \omega^+(\bar{V})^{N-1}, n_B = n\}$  becomes  $\mathcal{X}_{N-2}^{t,+}, t = -n - 1;$   $\{B \in \omega^+(bV)^N, n_B = n\}$  becomes  $\mathcal{X}_{N-2}^{t,+}, t = n + 1;$ if  $n \in \{0, 2, 4, 6, ...\}$ , then  $\{B \in \omega^-(\bar{V})^{N-1}, n_B = n\}$  becomes  $\mathcal{X}_{N-2}^{t,-}, t = n;$  $\{B \in \omega^-(\bar{V})^N, n_B = n\}$  becomes  $\mathcal{X}_{N-2}^{t,-}, t = -n - 2.$ 

**7.2.** Let  $\tau \in e$ .

(a) Assume that  $B' \in \omega^+(\bar{V}), B \in \omega^+(\bar{V})^{\tau}$  satisfy  $B' \preceq B$  and  $n_B > 0$ . Then we have either  $n_{B'} = n_B$  and  $B' \in \omega^+(\bar{V})^{\tau}$ , or else  $n_{B'} < n_B$ .

(b) Assume that  $B' \in \omega^{-}(\bar{V}), B \in \omega^{-}(\bar{V})^{\tau}$  satisfy  $B' \preceq B$  and  $n_B \geq 0$ . Then we have either  $n_{B'} = n_B$  and  $B' \in \omega^{-}(\bar{V})^{\tau}$ , or else  $n_{B'} < n_B$ .

Using the identification  $\omega(\bar{V}) = \omega(\bar{V}')$  in 2.8, 5.3 and the results in 7.1 we see that when  $\tau = N - 1$ , (a) follows from [4, 3.2] and (b) follows from [4, 3.4]. Using the symmetry  $\iota$ , we see that (a) and (b) for  $\tau = N$  follow from (a) and (b) for  $\tau = N - 1$ .

**7.3.** We choose a subset J of  $S - \mathbf{e}$  such that |J| = N - 3 and such that when N > 3 we have  $J \subset \mathcal{I}$ .

Let  $\omega(\bar{V})_J = \{B \in \omega(\bar{V}); \operatorname{supp} B \subset J\}$ . Then ' $\epsilon$  defines a bijection of  $\omega(\bar{V})_J$  onto a subset  $\bar{V}_{J,0}$  of  $\bar{V}$ . We set

$$\bar{V}_{J,1} = \epsilon(\{B \in \omega(\bar{V}); \operatorname{supp}(B) \cap \mathbf{e} = \emptyset\}) - \bar{V}_{J,0} \subset \bar{V}.$$

Assume now that  $B' \in \omega(\bar{V}), B \in \omega(\bar{V})_J$  satisfy  $B' \preceq B$ . From [4, 3.3] we deduce:

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(a) We have  $B' \in \omega(\bar{V})_J$ .

**7.4.** Let  $\tau \in \mathbf{e}$ . We set  $\tilde{\omega}^+(\bar{V})^{\tau} = \omega^+(\bar{V})^{\tau} \cup \omega(\bar{V}_J) \ \tilde{\omega}^-(\bar{V})^{\tau} = \omega^-(\bar{V})^{\tau}$ .

Assume now that  $B' \in \omega^{\delta}(\bar{V}), B \in \tilde{\omega}^{\delta}(\bar{V})^{\tau}$  satisfy  $B' \preceq B$ . From 7.2(a),(b) and 7.3(a) we deduce:

(a) We have either  $B' \in \tilde{\omega}^{\delta}(\bar{V})^{\tau}$  and  $n_{B'} = n_B$ , or else  $n_{B'} < n_B$ .

**7.5.** Let  $\overline{\bar{V}} = \overline{V}/F[\mathbf{e}]$  and let  $\overline{p}: \overline{V} \to \overline{\bar{V}}$  be the obvious quotient map. Let  $\overline{\bar{V}}^+ = \overline{p}(\overline{V}^+)$ ,  $\overline{\bar{V}}^- = \overline{p}(\overline{V}^-)$ . We have  $[\mathbf{e}] \in \overline{V}^+$  hence  $\overline{\bar{V}} = \overline{\bar{V}}^+ \sqcup \overline{\bar{V}}^-$  and  $|\overline{\bar{V}}^+| = (1/2)|\overline{V}^+| = |\overline{\bar{V}}^-|$ . Let  $\delta \in \{+,\}$ . For  $n \geq 0, \tau \in \mathbf{e}$  we set

$$\bar{V}_n^{\delta,\tau} = \epsilon(\{B \in \omega^\delta(\bar{V})^\tau; n_B = n\}) \subset \bar{V}^\delta.$$

From the results in [4, 2.7, 3.5] we see that

(a) the two subsets  $\bar{V}_n^{\delta,\tau}$  (with  $\tau \in \mathbf{e}$ ) are interchanged by the involution  $x \mapsto x + [\mathbf{e}]$  of  $\bar{V}^{\delta}$ .

(b)  $\bar{V}_{J,0}, \bar{V}_{J,1}$  are interchanged by the involution  $x \mapsto x + [\mathbf{e}]$  of  $\bar{V}$ .

(For (b) see also 4.1(a).)

For  $\tau \in \mathbf{e}$  we set

$$H^{\delta,\tau} = \epsilon(\tilde{\omega}^{\delta}(\bar{V})^{\tau}) \subset \bar{V}^{\delta}.$$

We have

$$H^{+,\tau} = \bar{V}_{J,0} \cup \bigcup_{n \ge 0} \bar{V}_n^{+,\tau},$$
$$H^{-,\tau} = \bigcup_{n \ge 0} \bar{V}_n^{-,\tau}$$

From (a),(b) we see that  $\bar{p}$  restricts to bijections  $H^{\delta,\tau} \xrightarrow{\sim} \overline{\bar{V}}^{\delta}$ .

For  $y \in \overline{V}^{\delta}$  we denote by  $\tilde{y}^{\tau} \in H^{\delta,\tau}$  the inverse image of y under this bijection and we define  $\nu_y \in \mathbf{N}$  by:

 $\nu_y \stackrel{s}{=} n \text{ if } \tilde{y}^{\tau} \in \bar{V}_n^{\delta,\tau}, \\ \nu_y = 0 \text{ if } \delta = + \text{ and } \tilde{y}^{\tau} \in \bar{V}_{J,0}.$ 

**7.6.** Let  $\delta \in \{+,-\}, \tau \in \mathbf{e}$ . For y', y in  $\overline{V}^{\delta}$  we say that  $y' \leq_{\tau} y$  if there exists

(a) a sequence  $y' = y_0, y_1, y_2, ..., y_k = y$  in  $\overline{V}^{\delta}$  such that for  $i \in \{0, 1, ..., k-1\}$  we have  $\tilde{y}_i^{\tau} \in \langle \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}) \rangle$  or  $\tilde{y}_i^{\delta} + [\mathbf{e}] \in \langle \epsilon^{-1}(\tilde{y}_{i+1}^{\delta}) \rangle$ .

We show that in this situation, for any  $i \in \{0, 1, ..., k-1\}$  we have

(b)  $\nu_{y_i} \leq \nu_{y_{i+1}}$ . We set  $B_i = \epsilon^{-1}(\tilde{y}_i^{\tau}), B_i' = \epsilon^{-1}(\tilde{y}_i^{\tau} + [\mathbf{e}]), B_{i+1} = \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}).$ 

If  $\tilde{y}_i^{\tau} \in \langle \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}) \rangle$ , then  $B_i \leq B_{i+1}$  so that by 7.4(a) we have  $n_{B_i} \leq n_{B_{i+1}}$ . But  $n_{B_i} = \nu_{y_i}, n_{B_{i+1}} = \nu_{y_{i+1}}$ , so that (b) holds.

If  $\tilde{y}_i^{\tau} + [\mathbf{e}] \in \langle \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}) \rangle$ , then  $B'_i \leq B_{i+1}$ , so that by 7.4(a) we have  $n_{B'_i} \leq n_{B_{i+1}}$ . But  $n_{B'_i} = \nu_{y_i}$ ,  $n_{B_{i+1}} = \nu_{y_{i+1}}$ , so that (b) holds.

We now see:

(c) If  $y' \leq_{\tau} y$ , then  $\nu_{y'} \leq \nu_y$ .

We show:

(d)  $\leq_{\tau}$  is a partial order on  $\overline{V}^{\delta}$ .

For  $y \in \overline{V}^{\delta}$  we have  $\tilde{y}^{\tau} \in \langle \epsilon^{-1}(\tilde{y}^{\tau}) \rangle$  so that  $y \leq_{\tau} y$ . It remains to show that

(e) if y, y' in  $\overline{V}^{\circ}$  satisfy  $y \leq_{\tau} y'$  and  $y' \leq_{\tau} y$ , then y = y'.

Using (c) we have  $\nu_{y'} \leq \nu_y$  and  $\nu_y \leq \nu_{y'}$ , hence  $\nu_y = \nu_{y'}$ . Consider now a sequence  $y' = y_0, y_1, y_2, \ldots, y_k = y$  as in (a). Using (b) and  $\nu_y = \nu_{y'}$  we see that for  $i \in \{0, 1, \ldots, k-1\}$  we have  $\nu_{y_i} = \nu_{y_{i+1}}$ . Recall that we have either

(i)  $B_i \preceq B_{i+1}$ , or

(ii)  $B'_i \preceq B_{i+1}$ ,

where as before we set  $B_i = \epsilon^{-1}(\tilde{y}_i^{\tau}), B'_i = \epsilon^{-1}(\tilde{y}_i^{\tau} + [\mathbf{e}]), B_{i+1} = \epsilon^{-1}(\tilde{y}_{i+1}^{\tau})$ . Note that  $n_{B_i} = n_{B'_i} = n_{B_{i+1}}$ .

We have  $B_i \in \tilde{\omega}^{\delta}(\bar{V})^{\tau}$ ,  $B'_i \in \tilde{\omega}^{\delta}(\bar{V})^{\tau'}$ ,  $B_{i+1} \in \tilde{\omega}^{\delta}(\bar{V})^{\tau}$ , where  $\tau' \in \mathbf{e}$  and  $\tau \neq \tau'$ . Using 7.4(a), we see that if (ii) holds, then (since  $n_{B'_i} = n_{B_{i+1}}$ ) we would have  $\tau = \tau'$ , a contradiction. Thus, (i) holds. Using this for  $i = 0, 1, \ldots, k - 1$  we see that

$$B_0 \preceq B_1 \preceq B_2 \leq \ldots \leq B_k$$

In particular we have  $B' \preceq B$ . Reversing the roles of y, y' we have similarly  $B \preceq B'$ . Since  $\preceq$  is a partial order on  $\omega(\bar{V})$ , it follows that B = B'. Applying ' $\epsilon$ , we obtain  $\tilde{y}^{\tau} = \tilde{y}'^t$  hence y = y'. This proves (e) and hence (d).

**7.7.** Let  $\delta \in \{+,-\}, \tau \in \mathbf{e}$ . For any  $y \in \overline{V}^{\delta}$  we set  $\langle y \rangle_{\tau} := \overline{p}(\langle \epsilon^{-1}(\tilde{y}^{\tau}) \rangle)$  (a subspace of  $\overline{V}$ ) and  $\langle y \rangle_{\tau,\delta} = \langle y \rangle_{\tau} \cap \overline{V}^{\delta}$ . Note that if  $\delta = +$  then  $\langle y \rangle_{\tau,\delta} = \langle y \rangle_{\tau}$ ; if  $\delta = -$  then  $\langle y \rangle_{\tau,\delta}$  is the complement in  $\langle y \rangle_{\tau}$  of a hyperplane of  $\langle y \rangle_{\tau}$ . Now, the condition that  $\tilde{y}_i^{\delta} \in \langle \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}) \rangle$  or  $\tilde{y}_i^{\delta} + [\mathbf{e}] \in \langle \epsilon^{-1}(\tilde{y}_{i+1}^{\delta}) \rangle$  (in 7.6(a)) is equivalent to the condition that  $y_i \in \overline{p}(\langle \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}) \rangle)$ . Thus, the condition

(in 7.6(a)) is equivalent to the condition that  $y_i \in \bar{p}(\langle \epsilon^{-1}(\tilde{y}_{i+1}^{\tau}) \rangle)$ . Thus, the condition that y, y' in  $\overline{\bar{V}}^{\delta}$  satisfy  $y' \leq_{\tau} y$  is equivalent to the following condition:

there exists a sequence  $y' = y_0, y_1, y_2, \ldots, y_k = y$  in  $\overline{V}^{\delta}$  such that for  $i \in \{0, 1, \ldots, k-1\}$ we have  $y_i \in \langle y_{i+1} \rangle_{\tau,\delta}$ .

Let  $\mathcal{F}^{\delta}(\overline{V})^{\tau}$  be the collection of subsets of  $\overline{V}^{\delta}$  of the form  $\langle y \rangle_{\tau,\delta}$  for various  $y \in \overline{V}^{\delta}$ . We show:

(a) If y', y in  $\overline{V}^{\circ}$  satisfy  $\langle y' \rangle_{\tau,\delta} = \langle y \rangle_{\tau,\delta}$ , then y = y'.

Indeed, we have  $y \in \langle y \rangle_{\tau,\delta}$ ,  $y' \in \langle y' \rangle_{\tau,\delta}$ , hence  $y \in \langle y' \rangle_{\tau,\delta}$ ,  $y' \in \langle y \rangle_{\tau,\delta}$ , so that  $y \leq_{\tau} y', y' \leq_{\tau} y$ . Since  $\leq_{\tau}$  is a partial order, it follows that y = y', proving (a).

We show:

(b) The map  $\tilde{\omega}^{\delta}(\bar{V})^{\tau} \to \mathcal{F}^{\delta}(\bar{V})^{\tau}, \ \epsilon^{-1}(\tilde{y}^{\tau}) \mapsto \langle y \rangle_{\tau,\delta} \ (for \ y \in \overline{V}^{\delta}) \ is \ bijective.$ 

This map is obviously surjective. Moreover we have  $|\tilde{\omega}^{\delta}(\bar{V})^{\tau} \to \mathcal{F}^{\delta}(\overline{\bar{V}})^{\tau}| = |\overline{\bar{V}}^{\delta}|$ . It is then enough to show that  $|\mathcal{F}^{\delta}(\overline{\bar{V}})^{\tau}| = |\overline{\bar{V}}^{\delta}|$ . This follows from (a).

We show:

(c) If  $y \in \overline{V}^{\delta}$  and  $B = \epsilon^{\prime} \epsilon^{-1}(\tilde{y}^{\tau})$  so that  $\langle y \rangle_{\tau,\delta} = \pi(\langle B \rangle)$  then  $\bar{p}$  restricts to an isomorphism  $\langle B \rangle \xrightarrow{\sim} \langle y \rangle_{\tau,\delta}$ .

Indeed it is enough to show that  $[\mathbf{e}] \notin B >$ . But in fact we have even  $[\mathbf{e}] \notin L_B$  as a consequence of 3.5(a).

**7.8.** Now the two sets  $\mathcal{F}^{-}(\overline{V})^{\tau}$  (for the two values of  $\tau \in \mathbf{e}$ ) are interchanged by the involution induced by  $\iota$ ; they do not depend on the choice of J in 7.3. This is not so for the two sets  $\mathcal{F}^{+}(\overline{V})^{\tau}$  (for the two values of  $\tau \in \mathbf{e}$ ), at least if N > 3; these sets do depend

on the choice of J in 7.3. But we prefer one of them over the other; namely we prefer the value of  $\tau$  such that  $\tau$  is not joined in our graph to any element of J. (This determines  $\tau$  uniquely if N > 3.) This is the choice made in [4].

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