

## On the nonexistence of harmonic and bi-harmonic maps

by

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### Abstract

In this paper, we study the existence of harmonic and bi-harmonic maps into Riemannian manifolds admitting a conformal vector field, or a nontrivial Ricci solitons.

**Key Words:** Harmonic maps, bi-harmonic maps, Ricci solitons, conformal vector fields.

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## 1 Preliminaries and notations

We give some definitions. (1) Let  $(M, g)$  be a Riemannian manifold. By  $R^M$  and  $\text{Ric}^M$  we denote respectively the Riemannian curvature tensor and the Ricci curvature of  $(M, g)$ . Thus  $R^M$  and  $\text{Ric}^M$  are defined by

$$R^M(X, Y)Z = \nabla_X^M \nabla_Y^M Z - \nabla_Y^M \nabla_X^M Z - \nabla_{[X, Y]}^M Z, \quad (1.1)$$

$$\text{Ric}^M(X, Y) = \sum_{i=1}^m g(R^M(X, e_i)e_i, Y), \quad (1.2)$$

where  $\nabla^M$  is the Levi-Civita connection with respect to  $g$ ,  $\{e_i\}_{i=1}^m$  is a local orthonormal frame field on  $(M, g)$ , and  $X, Y, Z \in \Gamma(TM)$ . The divergence of  $(0, p)$ -tensor  $\alpha$  on  $(M, g)$  is defined by

$$(\text{div}^M \alpha)(X_1, \dots, X_{p-1}) = \sum_{i=1}^m (\nabla_{e_i} \alpha)(e_i, X_1, \dots, X_{p-1}), \quad (1.3)$$

where  $X_1, \dots, X_{p-1} \in \Gamma(TM)$ , and  $\nabla_{e_i} \alpha$  is the covariant derivative of  $\alpha$  relative to  $e_i$ . Given a smooth function  $f$  on  $M$ , the gradient of  $f$  is defined by

$$g(\text{grad}^M f, X) = X(f), \quad (1.4)$$

the Hessian of  $f$  is defined by

$$(\text{Hess}^M f)(X, Y) = g(\nabla_X^M \text{grad}^M f, Y), \quad (1.5)$$

where  $X, Y \in \Gamma(TM)$  (for more details, see for example [14]).

(2) A vector field  $\xi$  on a Riemannian manifold  $(M, g)$  is called a conformal if  $\mathcal{L}_\xi g = 2fg$ , for some smooth function  $f$  on  $M$ , where  $\mathcal{L}_\xi g$  is the Lie derivative of the metric  $g$  with respect to  $\xi$ , that is

$$g(\nabla_X^M \xi, Y) + g(\nabla_Y^M \xi, X) = 2fg(X, Y), \quad \forall X, Y \in \Gamma(TM). \quad (1.6)$$

The function  $f = (\operatorname{div}^M \xi)/m$  is then called the potential function of the conformal vector field  $\xi$ . If  $\xi$  is conformal with constant potential function  $f$ , then it is called homothetic, while  $f = 0$  it is Killing (see [1], [11], [18]).

(3) A Ricci soliton structure on a Riemannian manifold  $(M, g)$  is the choice of a smooth vector field  $\xi$  satisfying the soliton equation

$$\operatorname{Ric}^M + \frac{1}{2} \mathcal{L}_\xi g = \lambda g, \quad (1.7)$$

for some constant  $\lambda \in \mathbb{R}$ . The Ricci soliton  $(M, g, \xi, \lambda)$  is said to be shrinking, steady or expansive according to whether the coefficient  $\lambda$  appearing in equation (1.7) satisfies  $\lambda > 0$ ,  $\lambda = 0$  or  $\lambda < 0$ . In the special case where  $\xi = \operatorname{grad}^M f$ , for some smooth function  $f$  on  $M$ , we say that  $(M, g, \operatorname{grad}^M f, \lambda)$  is a gradient Ricci soliton with potential  $f$ . In this situation, the soliton equation reads

$$\operatorname{Ric}^M + \operatorname{Hess}^M f = \lambda g, \quad (1.8)$$

(see [8], [9], [16]). If  $\xi = 0$ , we recover the definition of an Einstein metric with Einstein constant  $\lambda$ . If  $(M, g)$  is not Einstein, we call the soliton nontrivial.

(4) A vector field  $\xi$  on a Riemannian manifold  $(M, g)$  is said to be a Jacobi-type vector field if it satisfies

$$\nabla_X^M \nabla_X^M \xi - \nabla_{\nabla_X^M \xi}^M \xi + R^M(\xi, X)X = 0, \quad \forall X \in \Gamma(TM). \quad (1.9)$$

Note that, there are Jacobi-type vector fields on a Riemannian manifold which are not Killing vector fields (see [5]). Note that, a homothetic vector field on a Riemannian manifold is a Jacobi-type vector field (see [13]).

(5) Let  $\varphi : (M, g) \rightarrow (N, h)$  be a smooth map between two Riemannian manifolds,  $\tau(\varphi)$  the tension field of  $\varphi$  given by

$$\tau(\varphi) = \operatorname{trace}_g \nabla d\varphi = \sum_{i=1}^m \left[ \nabla_{e_i}^\varphi d\varphi(e_i) - d\varphi(\nabla_{e_i}^M e_i) \right], \quad (1.10)$$

where  $\nabla^M$  is the Levi-Civita connection of  $(M, g)$ ,  $\nabla^\varphi$  denote the pull-back connection on  $\varphi^{-1}TN$  and  $\{e_i\}_{i=1}^m$  is a local orthonormal frame field on  $(M, g)$ . Then  $\varphi$  is called harmonic if the tension field vanishes, i.e.,  $\tau(\varphi) = 0$  (see [1], [3], [7], [17]). We define the index form for harmonic maps by (see [4], [15])

$$I(v, w) = \int_M h(J_\varphi(v), w) v^g, \quad \forall v, w \in \Gamma(\varphi^{-1}TN), \quad (1.11)$$

(or over any compact subset  $D \subset M$ ), where

$$\begin{aligned} J_\varphi(v) &= -\operatorname{trace}_g R^N(v, d\varphi) d\varphi - \operatorname{trace}_g (\nabla^\varphi)^2 v \\ &= -\sum_{i=1}^m R^N(v, d\varphi(e_i)) d\varphi(e_i) - \sum_{i=1}^m \left[ \nabla_{e_i}^\varphi \nabla_{e_i}^\varphi v - \nabla_{\nabla_{e_i}^M e_i}^\varphi v \right], \end{aligned} \quad (1.12)$$

$R^N$  is the curvature tensor of  $(N, h)$ ,  $\nabla^N$  is the Levi-Civita connection of  $(N, h)$ , and  $v^g$  is the volume form of  $(M, g)$  (see [1]). If  $\tau_2(\varphi) \equiv J_\varphi(\tau(\varphi))$  is null on  $M$ , then  $\varphi$  is called a bi-harmonic map (see [3], [10], [12]).

## 2 Main results

### 2.1 Harmonic maps and conformal vector fields

**Proposition 1.** *Let  $(M, g)$  be a compact orientable Riemannian manifold without boundary, and  $(N, h)$  a Riemannian manifold admitting a conformal vector field  $\xi$  with potential function  $f > 0$  at any point. Then, any harmonic map  $\varphi$  from  $(M, g)$  to  $(N, h)$  is constant.*

*Proof.* Let  $X \in \Gamma(TM)$ , we set

$$\omega(X) = h(\xi \circ \varphi, d\varphi(X)). \quad (2.1)$$

Let  $\{e_i\}_{i=1}^m$  be a geodesic frame field around  $x \in M$ . At  $x$  we have

$$\operatorname{div}^M \omega = \sum_{i=1}^m e_i [h(\xi \circ \varphi, d\varphi(e_i))], \quad (2.2)$$

by equation (2.2), and the harmonicity condition of  $\varphi$ , we get

$$\operatorname{div}^M \omega = \sum_{i=1}^m h(\nabla_{e_i}^\varphi (\xi \circ \varphi), d\varphi(e_i)), \quad (2.3)$$

since  $\xi$  is a conformal vector field, we find that

$$\operatorname{div}^M \omega = (f \circ \varphi) \sum_{i=1}^m h(d\varphi(e_i), d\varphi(e_i)) = (f \circ \varphi) |d\varphi|^2. \quad (2.4)$$

The Proposition 1 follows from equation (2.4), and the divergence theorem (see [1]), with  $f > 0$  on  $N$ .  $\square$

**Remark 1.** (1) Proposition 1 remains true if the potential function  $f < 0$  on  $N$  (consider the conformal vector field  $\bar{\xi} = -\xi$ ).

(2) If the potential function is non-zero constant, that is  $\mathcal{L}_\xi h = 2kh$  on  $(N, h)$  with  $k \neq 0$ , then any harmonic map  $\varphi$  from a compact orientable Riemannian manifold without boundary  $(M, g)$  to  $(N, h)$  is necessarily constant (see [13]).

(3) An harmonic map from a compact orientable Riemannian manifold without boundary to a Riemannian manifold admitting a Killing vector field is not necessarily constant (for example the identity map on the unit  $(2n+1)$ -dimensional sphere on  $\mathbb{R}^{2n+2}$ , note that the unit odd-dimensional sphere admits a Killing vector field (see [2])).

From Proposition 1, we get the following result.

**Corollary 1.** *Let  $(\bar{N}, \bar{h})$  be an  $n$ -dimensional Riemannian manifold which admits a Killing vector field  $\bar{\xi}$ . Consider  $(N, h)$  a Riemannian hypersurface of  $(\bar{N}, \bar{h})$  such that  $h$  is the induced metric of  $\bar{h}$  on  $N$ . Suppose that*

- $(N, h)$  is totally umbilical, that is

$$B(X, Y) = \rho h(X, Y)\eta, \quad \forall X, Y \in \Gamma(TN),$$

for some smooth function  $\rho$  on  $N$ , where  $B$  is the second fundamental form of  $N$  on  $\bar{N}$  given by  $B(X, Y) = (\bar{\nabla}_X Y)^\perp$ ,  $\bar{\nabla}$  is the Levi-Civita connection on  $\bar{N}$ , and  $\eta$  is the unit normal to  $N$ ;

- the function  $\bar{h}(\bar{\xi}, H) \neq 0$  everywhere on  $N$ , where  $H$  is the mean curvature of  $(N, h)$  given by the formula

$$H = \frac{1}{n-1} \text{trace}_h B.$$

Then, any harmonic map from a compact orientable Riemannian manifold without boundary to  $(N, h)$  is constant.

*Proof.* It is possible to express  $\bar{\xi}$  along  $N$  as  $\bar{\xi} = \xi + f\eta$ , where  $\xi$  is tangent to  $N$  and  $f$  is a smooth function on  $N$ . Thus we have

$$(\mathcal{L}_{\bar{\xi}} \bar{h})(X, Y) = (\mathcal{L}_\xi h)(X, Y) + f\{\bar{h}(\bar{\nabla}_X \eta, Y) + \bar{h}(\bar{\nabla}_Y \eta, X)\}, \quad (2.5)$$

where  $X, Y \in \Gamma(TN)$  (see [6]), by equation (2.5) with  $\mathcal{L}_{\bar{\xi}} \bar{h} = 0$ , we get

$$(\mathcal{L}_\xi h)(X, Y) = 2f\bar{h}(\eta, B(X, Y)). \quad (2.6)$$

Since  $(N, h)$  is totally umbilical, (2.6) becomes

$$(\mathcal{L}_\xi h)(X, Y) = 2f\rho h(X, Y). \quad (2.7)$$

The Corollary follows from Proposition 1 and equation (2.7) with

$$f\rho = \bar{h}(\bar{\xi}, \eta)\bar{h}(H, \eta) = \bar{h}(\bar{\xi}, H).$$

□

**Example 1.** Let  $\bar{N} = \mathbb{R}^n$  equipped with the standard Riemannian metric  $\bar{h} = \langle \cdot, \cdot \rangle_{\mathbb{R}^n}$ . We consider the hypersurface  $N = \mathbb{S}^{n-1} \cap \{y \in \mathbb{R}^n, y_n > 0\}$  in  $\bar{N}$ , where  $\mathbb{S}^{n-1}$  is the unit  $(n-1)$ -dimensional sphere on  $\mathbb{R}^n$ . Let  $h$  the induced Riemannian metric on  $N$ . It is easy to show that  $(N, h)$  is totally umbilical, with  $B(X, Y) = -h(X, Y)P$  for all  $X, Y \in \Gamma(TN)$ , where  $P$  is the position vector field of  $\mathbb{R}^n$  (see [14]). Here,  $\rho = 1$  and  $\eta = -P$  along  $N$ . We have the following

$$\bar{h}\left(\frac{\partial}{\partial y_n}, H\right) = -y_n \neq 0,$$

everywhere on  $N$ . Since  $\bar{\xi} = \partial/\partial y_n$  is a Killing vector field on  $(\bar{N}, \bar{h})$ , according to Corollary 1, any harmonic map from a compact orientable Riemannian manifold without boundary to an open hemisphere is constant. Here, the tangent vector field  $\xi$  is given by

$$\begin{aligned} \xi &= \bar{\xi} - f\eta \\ &= \frac{\partial}{\partial y_n} - \left\langle \frac{\partial}{\partial y_n}, P \right\rangle_{\mathbb{R}^n} P \\ &= \frac{\partial}{\partial y_n} - y_n \sum_{i=1}^n y_i \frac{\partial}{\partial y_i}. \end{aligned}$$

Note that, the hypersurface  $(N, h)$  can be parameterized by

$$(u_1, \dots, u_{n-1}) \mapsto (u_1, \dots, u_{n-1}, \sqrt{1 - u_1^2 - \dots - u_{n-1}^2}).$$

By using this parameterization and the last equation, we find that

$$\xi = -\sqrt{1 - u_1^2 - \dots - u_{n-1}^2} \sum_{i=1}^{n-1} u_i \frac{\partial}{\partial u_i}.$$

Thus, from equation (2.7),  $\xi$  is conformal vector field on  $(N, h)$  with potential function

$$f = -\sqrt{1 - u_1^2 - \dots - u_{n-1}^2}.$$

In the case of non-compact Riemannian manifold, we obtain the following results.

**Theorem 1.** *Let  $(M, g)$  be a complete non-compact Riemannian manifold, and  $(N, h)$  a Riemannian manifold admitting a conformal vector field  $\xi$  with potential function  $f > 0$  at any point. If  $\varphi : (M, g) \rightarrow (N, h)$  is harmonic map, satisfying*

$$\int_M \frac{|\xi \circ \varphi|^2}{f \circ \varphi} v^g < \infty, \quad (2.8)$$

then  $\varphi$  is constant.

*Proof.* Let  $\rho$  be a smooth function with compact support on  $M$ , we set

$$\omega(X) = h(\xi \circ \varphi, \rho^2 d\varphi(X)), \quad X \in \Gamma(TM). \quad (2.9)$$

Let  $\{e_i\}_{i=1}^m$  be a geodesic frame field around  $x \in M$ . At  $x$  we have

$$\operatorname{div}^M \omega = \sum_{i=1}^m e_i [h(\xi \circ \varphi, \rho^2 d\varphi(e_i))], \quad (2.10)$$

by equation (2.10), and the harmonicity condition of  $\varphi$ , we get

$$\begin{aligned} \operatorname{div}^M \omega &= \sum_{i=1}^m \left[ h(\nabla_{e_i}^\varphi (\xi \circ \varphi), \rho^2 d\varphi(e_i)) + h(\xi \circ \varphi, \nabla_{e_i}^\varphi \rho^2 d\varphi(e_i)) \right] \\ &= \sum_{i=1}^m \left[ \rho^2 h(\nabla_{e_i}^\varphi (\xi \circ \varphi), d\varphi(e_i)) + 2\rho e_i(\rho) h(\xi \circ \varphi, d\varphi(e_i)) \right], \end{aligned} \quad (2.11)$$

since  $\xi$  is a conformal vector field with potential function  $f$ , we find that

$$\rho^2 \sum_{i=1}^m h(\nabla_{e_i}^\varphi (\xi \circ \varphi), d\varphi(e_i)) = (f \circ \varphi) \rho^2 \sum_{i=1}^m h(d\varphi(e_i), d\varphi(e_i)), \quad (2.12)$$

by Young's inequality we have

$$-2\rho \sum_{i=1}^m e_i(\rho) h(\xi \circ \varphi, d\varphi(e_i)) \leq \lambda \rho^2 |d\varphi|^2 + \frac{1}{\lambda} |\operatorname{grad}^M \rho|^2 |\xi \circ \varphi|^2, \quad (2.13)$$

for all function  $\lambda > 0$  on  $M$ , because of the inequality

$$\sum_{i=1}^m |\sqrt{\lambda} \rho d\varphi(e_i) + \frac{1}{\sqrt{\lambda}} e_i(\rho)(\xi \circ \varphi)|^2 \geq 0.$$

From (2.11), (2.12) and (2.13) we deduce the inequality

$$(f \circ \varphi) \rho^2 |d\varphi|^2 - \operatorname{div}^M \omega \leq \lambda \rho^2 |d\varphi|^2 + \frac{1}{\lambda} |\operatorname{grad}^M \rho|^2 |\xi \circ \varphi|^2. \quad (2.14)$$

Take  $\lambda = (f \circ \varphi)/2$ , from (2.14) we have

$$\frac{1}{2} (f \circ \varphi) \rho^2 |d\varphi|^2 - \operatorname{div}^M \omega \leq \frac{2}{f \circ \varphi} |\operatorname{grad}^M \rho|^2 |\xi \circ \varphi|^2, \quad (2.15)$$

by using the divergence theorem and inequality (2.15), we find that

$$\frac{1}{2} \int_M (f \circ \varphi) \rho^2 |d\varphi|^2 v^g \leq 2 \int_M |\operatorname{grad}^M \rho|^2 \frac{|\xi \circ \varphi|^2}{f \circ \varphi} v^g. \quad (2.16)$$

Consider the smooth function  $\rho = \rho_R$  such that,  $\rho \leq 1$  on  $M$ ,  $\rho = 1$  on the ball  $B(p, R)$ ,  $\rho = 0$  on  $M \setminus B(p, 2R)$  and  $|\operatorname{grad}^M \rho| \leq \frac{2}{R}$  (see [19]). From (2.16), we get

$$\frac{1}{2} \int_M (f \circ \varphi) \rho^2 |d\varphi|^2 v^g \leq \frac{8}{R^2} \int_M \frac{|\xi \circ \varphi|^2}{f \circ \varphi} v^g, \quad (2.17)$$

since  $\int_M \frac{|\xi \circ \varphi|^2}{f \circ \varphi} v^g < \infty$ , when  $R \rightarrow \infty$ , we obtain

$$\int_M (f \circ \varphi) |d\varphi|^2 v^g = 0. \quad (2.18)$$

Consequently,  $|d\varphi| = 0$ , that is  $\varphi$  is constant.  $\square$

From Theorem 1, we get the following.

**Corollary 2.** *Let  $(M, g)$  be a complete non-compact Riemannian manifold and let  $\xi$  a conformal vector field on  $(M, g)$  with potential function  $f > 0$  at any point. Then*

$$\int_M \frac{|\xi|^2}{f} v^g = \infty.$$

## 2.2 Bi-harmonic maps and conformal vector fields

**Theorem 2.** *Let  $(M, g)$  be a compact orientable Riemannian manifold without boundary, and let  $\xi$  a conformal vector field with non-constant potential function  $f$  on a Riemannian manifold  $(N, h)$  such that  $\operatorname{grad}^N f$  is parallel. Then, any bi-harmonic map  $\varphi$  from  $(M, g)$  to  $(N, h)$  is constant.*

For the proof of Theorem 2, we need the following Lemma.

**Lemma 1.** [13] *Let  $(M, g)$  be a compact orientable Riemannian manifold without boundary and  $(N, h)$  a Riemannian manifold admitting a proper homothetic vector field  $\zeta$ , i.e.,  $\mathcal{L}_\zeta h = 2kh$  with  $k \in \mathbb{R}^*$ . Then, any bi-harmonic map  $\varphi$  from  $(M, g)$  to  $(N, h)$  is constant.*

*Proof of Theorem 2.* We set  $\zeta = [\text{grad}^N f, \xi]$ , since  $\text{grad}^N f$  is parallel on  $(N, h)$ , then  $\zeta$  is an homothetic vector field satisfying  $\nabla_U^N \zeta = |\text{grad}^N f|^2 U$  for any  $U \in \Gamma(TN)$  (see [11]). The Theorem 2 follows from Lemma 1.  $\square$

From Theorem 2, we deduce:

**Corollary 3.** *Let  $(M, g)$  be a compact orientable Riemannian manifold without boundary, and let  $\xi$  a conformal vector field with non-constant potential function  $f$  on  $(M, g)$ . Then,  $\text{grad} f$  is not parallel.*

### 2.3 Harmonic maps to Ricci solitons

**Proposition 2.** *Let  $(M, g)$  be a compact orientable Riemannian manifold without boundary, and  $(N, h, \xi, \lambda)$  a nontrivial Ricci soliton with*

$$\text{Ric}^N > \lambda h \quad \text{or} \quad \text{Ric}^N < \lambda h.$$

*Then any harmonic map  $\varphi$  from  $(M, g)$  to  $(N, h)$  is constant.*

*Proof.* Let  $X \in \Gamma(TM)$ , we set

$$\omega(X) = h(\xi \circ \varphi, d\varphi(X)). \quad (2.19)$$

Let  $\{e_i\}_{i=1}^m$  be a geodesic frame field around  $x \in M$ . At  $x$  we have

$$\text{div}^M \omega = \sum_{i=1}^m e_i [h(\xi \circ \varphi, d\varphi(e_i))], \quad (2.20)$$

by equation (2.20), and the harmonicity condition of  $\varphi$ , we get

$$\text{div}^M \omega = \sum_{i=1}^m h(\nabla_{e_i}^\varphi (\xi \circ \varphi), d\varphi(e_i)) = \frac{1}{2} \sum_{i=1}^m (\mathcal{L}_\xi h)(d\varphi(e_i), d\varphi(e_i)), \quad (2.21)$$

from the soliton equation, we find that

$$\text{div}^M \omega = \lambda \sum_{i=1}^m h(d\varphi(e_i), d\varphi(e_i)) - \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)). \quad (2.22)$$

The Proposition 2 follows from equation (2.22), and the divergence theorem.  $\square$

**Remark 2.** *The condition  $\text{Ric}^N > \lambda h$  (resp.  $\text{Ric}^N < \lambda h$ ) is equivalent to  $\text{Ric}^N(v, v) > \lambda h(v, v)$  (resp.  $\text{Ric}^N(v, v) < \lambda h(v, v)$ ), for any  $v \in T_p N - \{0\}$ , where  $p \in N$ .*

It is known that the cigar soliton

$$(\mathbb{R}^2, \frac{dx^2 + dy^2}{1 + x^2 + y^2}),$$

is steady with strictly positive Ricci tensor (see [8]). According to Proposition 2, we have the following

**Corollary 4.** *Any harmonic map  $\varphi$  from a compact orientable Riemannian manifold without boundary to the cigar soliton is constant.*

In the case of non-compact Riemannian manifold, we obtain the following results.

**Theorem 3.** *Let  $(M, g)$  be a complete non-compact Riemannian manifold, and  $(N, h, \xi, \lambda)$  a nontrivial Ricci soliton with  $\text{Ric}^N < \mu h$ , for some constant  $\mu < \lambda$ . If  $\varphi : (M, g) \rightarrow (N, h)$  is harmonic map, satisfying*

$$\int_M |\xi \circ \varphi|^2 v^g < \infty, \quad (2.23)$$

*then  $\varphi$  is constant.*

*Proof.* Let  $\rho$  be a smooth function with compact support on  $M$ , we set

$$\omega(X) = h(\xi \circ \varphi, \rho^2 d\varphi(X)), \quad X \in \Gamma(TM). \quad (2.24)$$

Let  $\{e_i\}_{i=1}^m$  be a geodesic frame field around  $x \in M$ . At  $x$  we have

$$\text{div}^M \omega = \sum_{i=1}^m e_i [h(\xi \circ \varphi, \rho^2 d\varphi(e_i))], \quad (2.25)$$

by equation (2.25), and the harmonicity condition of  $\varphi$ , we get

$$\begin{aligned} \text{div}^M \omega &= \sum_{i=1}^m \left[ h(\nabla_{e_i}^\varphi (\xi \circ \varphi), \rho^2 d\varphi(e_i)) + h(\xi \circ \varphi, \nabla_{e_i}^\varphi \rho^2 d\varphi(e_i)) \right] \\ &= \sum_{i=1}^m \left[ \rho^2 h(\nabla_{e_i}^\varphi (\xi \circ \varphi), d\varphi(e_i)) + 2\rho e_i(\rho) h(\xi \circ \varphi, d\varphi(e_i)) \right], \end{aligned} \quad (2.26)$$

by the soliton equation, we find that

$$\begin{aligned} \rho^2 \sum_{i=1}^m h(\nabla_{e_i}^\varphi (\xi \circ \varphi), d\varphi(e_i)) &= \lambda \rho^2 \sum_{i=1}^m h(d\varphi(e_i), d\varphi(e_i)) \\ &\quad - \rho^2 \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)), \end{aligned} \quad (2.27)$$

by Young's inequality we have

$$-2\rho \sum_{i=1}^m e_i(\rho) h(\xi \circ \varphi, d\varphi(e_i)) \leq \epsilon \rho^2 |d\varphi|^2 + \frac{1}{\epsilon} |\text{grad}^M \rho|^2 |\xi \circ \varphi|^2, \quad (2.28)$$



for all  $\epsilon > 0$ . From (2.26), (2.27) and (2.28) we deduce the inequality

$$\begin{aligned} \lambda \rho^2 |d\varphi|^2 - \rho^2 \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)) &= \text{div}^M \omega \\ &\leq \epsilon \rho^2 |d\varphi|^2 + \frac{1}{\epsilon} |\text{grad}^M \rho|^2 |\xi \circ \varphi|^2. \end{aligned} \quad (2.29)$$

Take  $\epsilon = \lambda - \mu$ , from (2.29) we obtain

$$\begin{aligned} \rho^2 \left[ \mu |d\varphi|^2 - \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)) \right] &= \text{div}^M \omega \\ &\leq \frac{1}{\lambda - \mu} |\text{grad}^M \rho|^2 |\xi \circ \varphi|^2. \end{aligned} \quad (2.30)$$

By the divergence theorem and inequality (2.30), we have

$$\begin{aligned} \int_M \rho^2 \left[ \mu |d\varphi|^2 - \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)) \right] v^g \\ \leq \frac{1}{\lambda - \mu} \int_M |\text{grad}^M \rho|^2 |\xi \circ \varphi|^2 v^g. \end{aligned} \quad (2.31)$$

Consider the smooth function  $\rho = \rho_R$  such that,  $\rho \leq 1$  on  $M$ ,  $\rho = 1$  on the ball  $B(p, R)$ ,  $\rho = 0$  on  $M \setminus B(p, 2R)$  and  $|\text{grad}^M \rho| \leq \frac{2}{R}$ . From inequality (2.31), we conclude that

$$\begin{aligned} \int_M \rho^2 \left[ \mu |d\varphi|^2 - \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)) \right] v^g \\ \leq \frac{4}{(\lambda - \mu) R^2} \int_M |\xi \circ \varphi|^2 v^g, \end{aligned} \quad (2.32)$$

since  $\int_M |\xi \circ \varphi|^2 v^g < \infty$ , when  $R \rightarrow \infty$ , we obtain

$$\int_M \left[ \mu |d\varphi|^2 - \sum_{i=1}^m \text{Ric}^N(d\varphi(e_i), d\varphi(e_i)) \right] v^g = 0. \quad (2.33)$$

Consequently,  $d\varphi(e_i) = 0$  for all  $i = \overline{1, m}$  (because  $\mu h - \text{Ric}^N > 0$ ), that is  $\varphi$  is constant.  $\square$

If  $M = N$  and  $\varphi = Id_M$ , from Theorem 3, we deduce:

**Corollary 5.** *Let  $(M, g, \xi, \lambda)$  be a complete non-compact nontrivial Ricci soliton with  $\text{Ric} < \mu h$  for some constant  $\mu < \lambda$ . Then*

$$\int_M |\xi|^2 v^g = \infty.$$

## 2.4 Bi-harmonic maps to Ricci solitons

**Theorem 4.** *Let  $(M, g)$  be a compact orientable Riemannian manifold without boundary, and  $(N, h, \xi, \lambda)$  a nontrivial Ricci soliton with*

$$\text{Ric}^N > \lambda h \quad \text{or} \quad \text{Ric}^N < \lambda h.$$

*Suppose that  $\xi$  is Jacobi-type vector field. Then any bi-harmonic map  $\varphi$  from  $(M, g)$  to  $(N, h)$  is constant.*

*Proof.* We set

$$\eta(X) = h(\xi \circ \varphi, \nabla_X^\varphi \tau(\varphi)), \quad X \in \Gamma(TM). \quad (2.34)$$

Calculating in a geodesic frame field around  $x \in M$  we have

$$\begin{aligned} \text{div}^M \eta &= \sum_{i=1}^m e_i [h(\xi \circ \varphi, \nabla_{e_i}^\varphi \tau(\varphi))] \\ &= \sum_{i=1}^m \left[ h(\nabla_{e_i}^\varphi (\xi \circ \varphi), \nabla_{e_i}^\varphi \tau(\varphi)) + h(\xi \circ \varphi, \nabla_{e_i}^\varphi \nabla_{e_i}^\varphi \tau(\varphi)) \right], \end{aligned} \quad (2.35)$$

from equation (2.35), and the bi-harmonicity condition of  $\varphi$ , we get

$$\begin{aligned} \text{div}^M \eta &= \sum_{i=1}^m \left[ h(\nabla_{e_i}^\varphi (\xi \circ \varphi), \nabla_{e_i}^\varphi \tau(\varphi)) \right. \\ &\quad \left. - h(R^N(\tau(\varphi), d\varphi(e_i))d\varphi(e_i), \xi \circ \varphi) \right], \end{aligned} \quad (2.36)$$

the first term on the left-hand side of (2.36) is

$$\begin{aligned} \sum_{i=1}^m h(\nabla_{e_i}^\varphi (\xi \circ \varphi), \nabla_{e_i}^\varphi \tau(\varphi)) &= \sum_{i=1}^m \left[ e_i [h(\nabla_{e_i}^\varphi (\xi \circ \varphi), \tau(\varphi))] \right. \\ &\quad \left. - h(\nabla_{e_i}^\varphi \nabla_{e_i}^\varphi (\xi \circ \varphi), \tau(\varphi)) \right], \end{aligned} \quad (2.37)$$

by equations (2.36), (2.37), and the following property

$$h(R^N(X, Y)Z, W) = h(R^N(W, Z)Y, X),$$

where  $X, Y, Z, W \in \Gamma(TN)$ , we conclude that

$$\begin{aligned} \text{div}^M \eta &= \text{div}^M h(\nabla^\varphi (\xi \circ \varphi), \tau(\varphi)) - \sum_{i=1}^m h(\nabla_{e_i}^\varphi \nabla_{e_i}^\varphi (\xi \circ \varphi), \tau(\varphi)) \\ &\quad - \sum_{i=1}^m h(R^N(\xi \circ \varphi, d\varphi(e_i))d\varphi(e_i), \tau(\varphi)), \end{aligned} \quad (2.38)$$

since  $\xi$  is a Jacobi-type vector field, we have

$$\text{div}^M \eta = \text{div}^M h(\nabla^\varphi (\xi \circ \varphi), \tau(\varphi)) - h(\nabla_{\tau(\varphi)}^N \xi, \tau(\varphi)), \quad (2.39)$$

by the soliton equation, we get

$$\begin{aligned} \operatorname{div}^M \eta &= \operatorname{div}^M h(\nabla^\varphi(\xi \circ \varphi), \tau(\varphi)) \\ &\quad - \lambda |\tau(\varphi)|^2 + \operatorname{Ric}^N(\tau(\varphi), \tau(\varphi)), \end{aligned} \quad (2.40)$$

from equation (2.40), and the divergence theorem, with  $\operatorname{Ric}^N < \lambda h$  (or  $\operatorname{Ric}^N > \lambda h$ ), we get  $\tau(\varphi) = 0$ , i.e.,  $\varphi$  is harmonic map, so by the Proposition 2,  $\varphi$  is constant.  $\square$

From Theorem 4, we deduce:

**Corollary 6.** *Let  $(M, g, \xi, \lambda)$  be a compact nontrivial Ricci soliton with*

$$\operatorname{Ric} > \lambda g \quad \text{or} \quad \operatorname{Ric} < \lambda g.$$

*Then  $\xi$  is not Jacobi-type vector field.*

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